

November 2016

# Main Market Factsheet



**London**  
Stock Exchange Group

# Table 1: Main - UK Listed Companies: New Issues (IPOs)

November 2016

## Equity and Fixed Interest

### New companies

Date	Company/ Security	Issue type/ Business sector	Issue price (pence)	Market Cap at admission (£m) (closing price)	New Money raised (£m)*
18/11/2016	<b>CIVITAS SOCIAL HOUSING PLC</b> ORD GBP0.01	Placing 8673 - Residential REITs	100.00	360.94	350.00
03/11/2016	<b>GOCOMPARE.COM GROUP PLC</b> ORD GBP0.0002	Introduction 5555 - Media Agencies	0.00	301.73	0.00
01/11/2016	<b>LADBROKES CORAL GROUP PLC</b> ORD GBP0.2833333	Introduction Re-admission 5752 - Gambling	0.00	2,670.12	0.00

\* Money raised figure in brackets is an of which fixed interest money raised

### Summary

	No. of companies	Market value (£m)	Money raised (£m)	No. of companies	Market value (£m)	Money raised (£m)
	<b>November 2016</b>			<b>Year to date</b>		
<b>New companies</b>	2	662.67	350.00	28	14,291.68	2,602.37
of which Fixed Interest			0.00			30.00
<b>Transfer</b>	0	0.00	0.00	5	3,955.93	150.00
of which Fixed Interest			0.00			0.00
<b>Relisting</b>	1	2,670.12	0.00	5	12,829.42	600.76
of which Fixed Interest			0.00			0.00
<b>Totals</b>	<b>3</b>	<b>3,332.79</b>	<b>350.00</b>	<b>38</b>	<b>31,077.04</b>	<b>3,353.13</b>
of which Fixed Interest			0.00			30.00
Total Equity only			350.00			3,323.13

# Table 1: Main Market - UK Listed Companies: Further Issues

November 2016

## Equity and Fixed Interest

### Rights issues

Date	Company/ Security	Business sector	Offer	Issue price (p)	Money raised (£m)
<b>Summary</b>					
			<b>Equity</b>	<b>Fixed Interest</b>	
			<b>No. of issues</b>	<b>Money raised (£m)</b>	<b>No. of issues</b> <b>Money raised (£m)</b>
<b>November 2016</b>			0	0.00	0   0.00
<b>Year to date</b>			8	3,382.55	0   0.00

### Further issues

Date	Company/ Security	Issue type/ Business sector	Issue price (p)	Money raised (£m)
21/11/2016	<b>ENQUEST PLC</b> ORD GBP0.05	Placing & Open Offer 533 - Exploration & Production	23.0	82.0
10/11/2016	<b>GCP ASSET BACKED INCOME FUND LTD</b> ORD NPV	Placing For Cash 8985 - Equity Investment Instruments	104.5	15.64
22/11/2016	<b>GREENCOAT UK WIND PLC</b> ORD GBP0.01	Placing For Cash 8985 - Equity Investment Instruments	110.0	147.00
25/11/2016	<b>NEXTENERGY SOLAR FUND LTD</b> ORD RED NPV GBP	Placing For Cash 8985 - Equity Investment Instruments	104.5	115.26
22/11/2016	<b>ROYAL BANK OF SCOTLAND GROUP PLC</b> ORD GBP1	Placing For Cash 8355 - Banks	195.9	60.00

10 Other issues raising less than £10m

13.14

All Further Issues can be found at the below link  
[Further Issues Summary](#)

<b>Summary</b>					
			<b>Equity</b>	<b>Fixed Interest</b>	
			<b>No. of issues</b>	<b>Money raised (£m)</b>	<b>No. of issues</b> <b>Money raised (£m)</b>
<b>November 2016</b>			15	433.09	0   0.00
<b>Year to date</b>			332	6,021.30	0   0.00

## Table 2: Main Market - International Listed Companies

November 2016

### Equity and Fixed Interest

#### New companies

Date	Company/ Security	Issue type/ Business sector	Issue price	Market Cap at admission (£m) (closing price)	New Money raised (£m)		
<b>Summary</b>							
		<b>No. of companies</b>	<b>Market value (£m)</b>	<b>Money raised (£m)</b>	<b>No. of companies</b>	<b>Market value (£m)</b>	<b>Money raised (£m)</b>
		<b>November 2016</b>			<b>Year to date</b>		
New companies	Equity	0	0.00	0.00	6	833.41	4.91
	Depository Receipts	0	0.00	0.00	2	111.83	0.00
	Fixed interest	0	0.00	0.00	0	0.00	0.00
Relisting and Transfer	Equity	0	0.00	0.00	1	553.47	0.00
	Depository Receipts	0	0.00	0.00	0	0.00	0.00
	Fixed interest	0	0.00	0.00	0	0.00	0.00
Totals	<b>Equity</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>	<b>7</b>	<b>1,386.88</b>	<b>4.91</b>
	<b>Depository Receipts</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>	<b>2</b>	<b>111.83</b>	<b>0.00</b>
	<b>Fixed interest</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>
	<b>Grand Total</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>	<b>9</b>	<b>1,498.71</b>	<b>4.91</b>
	of which IPOs	0	0.00	0.00	4	135.73	4.91

#### Further issues

	No. of rights issues	Money raised (£m)	No. of other issues	Money raised (£m)	Total Further issues	Money raised (£m)
<b>November 2016</b>	0	0.00	1	0.00	1	0.00
<b>Year to date</b>	1	735.21	19	383.89	20	1119.10

## Table 3: New and Further Issues by Method

### Equities

	Main Market Listed		UK		Main Market International		Grand Total	
	No. of co's / issues	Money raised (£m)	No. of co's / issues	Money raised (£m)	No. of co's / issues	Money raised (£m)	No. of co's / issues	Money raised (£m)
<b>November 2016</b>								
<b>New companies</b>								
Public offer	0	0.00	0	0.00	0	0.00	0	0.00
Placing	1	350.00	0	0.00	1	350.00	1	350.00
Placing and public offer	0	0.00	0	0.00	0	0.00	0	0.00
Introduction	2	0.00	0	0.00	2	0.00	2	0.00
<b>Totals</b>	<b>3</b>	<b>350.00</b>	<b>0</b>	<b>0.00</b>	<b>3</b>	<b>350.00</b>	<b>3</b>	<b>350.00</b>
<b>Further issues</b>								
Public offer	6	13.14	0	0.00	6	13.14	6	13.14
Placing	4	337.90	0	0.00	4	337.90	4	337.90
Placing and public offer	1	82.05	0	0.00	1	82.05	1	82.05
Rights issues	0	0.00	0	0.00	0	0.00	0	0.00
Employee shares/options	4	0.00	1	0.00	5	0.00	5	0.00
<b>Totals</b>	<b>15</b>	<b>433.09</b>	<b>1</b>	<b>0.00</b>	<b>16</b>	<b>433.09</b>	<b>16</b>	<b>433.09</b>
<b>Grand totals</b>	<b>18</b>	<b>783.09</b>	<b>1</b>	<b>0.00</b>	<b>19</b>	<b>783.09</b>	<b>19</b>	<b>783.09</b>
<b>Year to date</b>								
<b>New companies</b>								
Public offer	1	30.91	1	0.00	2	30.91	2	30.91
Placing	22	3,142.22	3	4.91	25	3,147.14	25	3,147.14
Placing and public offer	1	150.00	0	0.00	1	150.00	1	150.00
Introduction	13	0.00	5	0.00	18	0.00	18	0.00
<b>Totals</b>	<b>37</b>	<b>3,323.13</b>	<b>9</b>	<b>4.91</b>	<b>46</b>	<b>3,328.05</b>	<b>46</b>	<b>3,328.05</b>
<b>Further issues</b>								
Public offer	161	397.83	0	0.00	161	397.83	161	397.83
Placing	87	3,999.34	7	219.76	94	4,219.10	94	4,219.10
Placing and public offer	10	1,624.13	3	164.13	13	1,788.26	13	1,788.26
Rights issues	8	3,382.55	1	735.21	9	4,117.77	9	4,117.77
Employee shares/options	74	0.00	9	0.00	83	0.00	83	0.00
<b>Totals</b>	<b>340</b>	<b>9,403.85</b>	<b>20</b>	<b>1,119.10</b>	<b>360</b>	<b>10,522.96</b>	<b>360</b>	<b>10,522.96</b>
<b>Grand totals</b>	<b>377</b>	<b>12,726.98</b>	<b>29</b>	<b>1,124.02</b>	<b>406</b>	<b>13,851.00</b>	<b>406</b>	<b>13,851.00</b>

### Fixed interest & Covered warrants

#### New and further issues

##### November 2016

Convertibles	0	0.00	0	0.00	0	0.00	0	0.00
Debentures and loans	0	0.00	0	0.00	0	0.00	0	0.00
Preference	0	0.00	0	0.00	0	0.00	0	0.00
<b>Total company</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>
Eurobonds	46	5,814.60	72	6,614.70	118	12,429.30	118	12,429.30
<b>Covered warrants</b>								
Covered warrant market	0		0		0		0	
Covered warrant other	0	0.00	0	0.00	0	0.00	0	0.00
<b>Grand totals</b>	<b>46</b>	<b>5,814.60</b>	<b>72</b>	<b>6,614.70</b>	<b>118</b>	<b>12,429.30</b>	<b>118</b>	<b>12,429.30</b>

##### Year to date

Convertibles	0	0.00	0	0.00	0	0.00	0	0.00
Debentures and loans	0	0.00	0	0.00	0	0.00	0	0.00
Preference	1	30.00	0	0.00	1	30.00	1	30.00
<b>Total company</b>	<b>1</b>	<b>30.00</b>	<b>0</b>	<b>0.00</b>	<b>1</b>	<b>30.00</b>	<b>1</b>	<b>30.00</b>
Eurobonds	714	100,876.54	835	154,383.95	1,549	255,260.49	1,549	255,260.49
<b>Covered warrants</b>								
Covered warrant market	0		40		40		40	
Covered warrant other	0	0.00	0	0.00	0	0.00	0	0.00
<b>Grand totals</b>	<b>715</b>	<b>100,906.54</b>	<b>875</b>	<b>154,383.95</b>	<b>1,590</b>	<b>255,290.49</b>	<b>1,590</b>	<b>255,290.49</b>

# Table 5: Money Raised by Business Sector

November 2016

## Main Market - UK

Group	Business sector	New Issues		Rights issues		Other issues	
		No. of companies	New Money raised (£m)	No. of issues	Money raised (£m)	No. of issues	Money raised (£m)
	<b>Equities</b>						
0530	Oil & Gas Producers	-	-	-	-	1	82.05
0570	Oil Equipment, Services & Distribution	-	-	-	-	-	-
0580	Alternative Energy	-	-	-	-	-	-
0001	<b>Total Oil &amp; Gas</b>	-	-	-	-	1	82.05
1350	Chemicals	-	-	-	-	-	-
1730	Forestry & Paper	-	-	-	-	-	-
1750	Industrial Metals	-	-	-	-	-	-
1770	Mining	-	-	-	-	-	-
1000	<b>Total Basic Materials</b>	-	-	-	-	-	-
2350	Construction & Materials	-	-	-	-	-	-
2710	Aerospace & Defense	-	-	-	-	-	-
2720	General Industrials	-	-	-	-	-	-
2730	Electronic & Electrical Equipment	-	-	-	-	-	-
2750	Industrial Engineering	-	-	-	-	-	-
2770	Industrial Transportation	-	-	-	-	-	-
2790	Support Services	-	-	-	-	1	-
2000	<b>Total Industrials</b>	-	-	-	-	1	-
3350	Automobiles & Parts	-	-	-	-	-	-
3530	Beverages	-	-	-	-	-	-
3570	Food Producers	-	-	-	-	-	-
3720	Household Goods	-	-	-	-	-	-
3740	Leisure Goods	-	-	-	-	-	-
3760	Personal Goods	-	-	-	-	-	-
3780	Tobacco	-	-	-	-	-	-
3000	<b>Consumer Goods</b>	-	-	-	-	-	-
4530	Health Care Equipment & Services	-	-	-	-	-	-
4570	Pharmaceuticals & Biotechnology	-	-	-	-	-	-
4000	<b>Total Health Care</b>	-	-	-	-	-	-
5330	Food & Drug Retailers	-	-	-	-	-	-
5370	General Retailers	-	-	-	-	-	-
5550	Media	-	-	-	-	-	-
5750	Travel & Leisure	-	-	-	-	-	-
5000	<b>Total Consumer Services</b>	-	-	-	-	-	-
6530	Fixed Line Telecommunications	-	-	-	-	-	-
6570	Mobile Telecommunications	-	-	-	-	-	-
6000	<b>Total Telecommunications</b>	-	-	-	-	-	-
7530	Electricity	-	-	-	-	-	-
7570	Gas, Water & Multiutilities	-	-	-	-	-	-
7000	<b>Total Utilities</b>	-	-	-	-	-	-
8350	Banks	-	-	-	-	2	60.00
8530	Nonlife Insurance	-	-	-	-	-	-
8570	Life Insurance	-	-	-	-	-	-
8630	Real Estate Investment & Services	-	-	-	-	-	-
8670	Real Estate Investment Trusts	1	350.00	-	-	-	-
8730	Real Estate	-	-	-	-	-	-
8770	General Financial	-	-	-	-	1	-
8980	Equity Investment Instruments	-	-	-	-	9	291.04
8990	Nonequity Investment Instruments	-	-	-	-	-	-
8000	<b>Total Financials</b>	1	350.00	-	-	12	351.04
9530	Software & Computer Services	-	-	-	-	1	-
9570	Technology Hardware & Equipment	-	-	-	-	-	-
9000	<b>Total Technology</b>	-	-	-	-	1	-
	<b>Total Equities</b>	1	350.00	-	-	15	433.09
	<b>Company Fixed Interest</b>						
	Debentures and Loans	-	-	-	-	-	-
	Convertibles	-	-	-	-	-	-
	Preference	-	-	-	-	-	-
	<b>Total Company Fixed Interest</b>	-	-	-	-	-	-

# Table 5: Money Raised by Business Sector

Year to date

## Main Market - UK

New Issues		Rights issues		Other issues		Grand totals		Business sector
No. of companies	New Money raised (£m)	No. of issues	Money raised (£m)	No. of issues	Money raised (£m)	No. of issues	Money raised (£m)	
-	-	-	-	1	82.05	1	82.05	Equities
-	-	-	-	1	70.85	1	70.85	Oil & Gas Producers
-	-	-	-	-	-	-	-	Oil Equipment, Services & Distribution
-	-	-	-	-	-	-	-	Alternative Energy
-	-	-	-	2	152.90	2.00	152.90	<b>Total Oil &amp; Gas</b>
-	-	-	-	3	7.96	3	7.96	Chemicals
-	-	-	-	-	-	-	-	Forestry & Paper
-	-	-	-	-	-	-	-	Industrial Metals
-	-	-	-	4	191.01	4	191.01	Mining
-	-	-	-	7	198.97	7	198.97	<b>Total Basic Industries</b>
-	-	-	-	4	19.08	4	19.08	Construction & Materials
-	-	2	587.43	-	-	2	587.43	Aerospace & Defense
-	-	1	232.68	2	95.80	3	328.48	General Industrials
1	26.00	-	-	-	-	1	26.00	Electronic & Electrical Equipment
-	-	1	1,654.53	-	-	1	1,654.53	Industrial Engineering
-	-	-	-	9	99.15	9	99.15	Industrial Transportation
1	262.45	2	171.59	7	58.76	10	492.80	Support Services
2	288.45	6	2,646.23	22	272.78	30	3,207.46	<b>Total Industrials</b>
-	-	-	-	-	-	-	-	Automobiles & Parts
-	-	-	-	-	-	-	-	Beverages
-	-	-	-	-	-	-	-	Food Producers
1	130.00	-	-	1	-	2	130.00	Household Goods
-	-	-	-	-	-	-	-	Leisure Goods
-	-	-	-	-	-	-	-	Personal Goods
-	-	-	-	-	-	-	-	Tobacco
1	130.00	-	-	1	-	2	130.00	<b>Consumer Goods</b>
3	2,067.00	-	-	-	-	3	2,067.00	Health Care Equipment & Services
-	-	-	-	3	67.98	3	67.98	Pharmaceuticals & Biotechnology
3	2,067.00	-	-	3	67.98	6	2,134.98	<b>Total Health Care</b>
-	-	-	-	1	13.08	1	13.08	Food & Drug Retailers
-	-	-	-	1	-	1	-	General Retailers
1	199.90	1	715.45	1	-	3	915.35	Media
1	150.00	-	-	6	-	7	150.00	Travel & Leisure
2	349.90	1	715.45	9	13.08	12	1,078.43	<b>Total Consumer Services</b>
-	-	-	-	-	-	-	-	Fixed Line Telecommunications
-	-	-	-	1	-	1	-	Mobile Telecommunications
-	-	-	-	1	-	1	-	<b>Total Telecommunications</b>
-	-	-	-	-	-	-	-	Electricity
-	-	-	-	1	700.00	1	700.00	Gas, Water & Multiutilities
-	-	-	-	1	700.00	1	-	<b>Total Utilities</b>
-	-	-	-	6	300.00	6	300.00	Banks
-	-	-	-	1	-	1	-	Nonlife Insurance
-	-	-	-	-	-	-	-	Life Insurance
-	-	-	-	1	38.00	1	38.00	Real Estate Investment & Services
1	350.00	-	-	18	1,435.29	19	1,785.29	Real Estate Investment Trusts
-	-	-	-	-	-	-	-	Real Estate
1	14.85	-	-	32	199.48	33	214.34	General Financial
2	110.93	-	-	210	2,521.08	212	2,632.02	Equity Investment Instruments
7	9.99	-	-	6	2.16	13	12.16	Nonequity Investment Instruments
11	485.78	-	-	274	4,496.02	285	4,981.80	<b>Total Financials</b>
-	-	1	20.87	7	4.58	8	25.45	Software & Computer Services
1	2.00	-	-	1	65.00	2	67.00	Technology Hardware & Equipment
1	2.00	1	20.87	8	69.58	10	92.45	<b>Total Technology</b>
20	3,323.13	8	3,382.55	328	5,971.30	356	11,976.98	<b>Total Equities</b>
-	-	-	-	-	-	-	-	<b>Company Fixed Interest</b>
-	-	-	-	-	-	-	-	Debentures and Loans
-	-	-	-	-	-	-	-	Convertibles
1	30.00	-	-	-	-	1	30.00	Preference
1	30.00	-	-	-	-	1	30.00	<b>Total Company Fixed Interest</b>

# Table 5a: New Issues by Business Sector

November 2016

## Main Market - UK Listed

Group	Business sector	New Companies (IPOs)			Transfers			Re-Admissions		
		Introduction	Placing	New Money Raised	Introduction	Placing	New Money Raised	Introduction	Placing	New Money Raised
		No. of co's	No. of co's	(£m)	No. of co's	No. of co's	(£m)	No. of co's	No. of co's	(£m)
	<b>Equities</b>									
0530	Oil & Gas Producers	-	-	-	-	-	-	-	-	-
0570	Oil Equipment, Services & Distribution	-	-	-	-	-	-	-	-	-
0580	Alternative Energy	-	-	-	-	-	-	-	-	-
0001	<b>Total Oil &amp; Gas</b>	-	-	-	-	-	-	-	-	-
1350	Chemicals	-	-	-	-	-	-	-	-	-
1730	Forestry & Paper	-	-	-	-	-	-	-	-	-
1750	Industrial Metals	-	-	-	-	-	-	-	-	-
1770	Mining	-	-	-	-	-	-	-	-	-
1000	<b>Total Basic Industries</b>	-	-	-	-	-	-	-	-	-
2350	Construction & Materials	-	-	-	-	-	-	-	-	-
2710	Aerospace & Defense	-	-	-	-	-	-	-	-	-
2720	General Industrials	-	-	-	-	-	-	-	-	-
2730	Electronic & Electrical Equipment	-	-	-	-	-	-	-	-	-
2750	Industrial Engineering	-	-	-	-	-	-	-	-	-
2770	Industrial Transportation	-	-	-	-	-	-	-	-	-
2790	Support Services	-	-	-	-	-	-	-	-	-
2000	<b>Total Industrials</b>	-	-	-	-	-	-	-	-	-
3350	Automobiles & Parts	-	-	-	-	-	-	-	-	-
3530	Beverages	-	-	-	-	-	-	-	-	-
3570	Food Producers	-	-	-	-	-	-	-	-	-
3720	Household Goods	-	-	-	-	-	-	-	-	-
3740	Leisure Goods	-	-	-	-	-	-	-	-	-
3760	Personal Goods	-	-	-	-	-	-	-	-	-
3780	Tobacco	-	-	-	-	-	-	-	-	-
3000	<b>Total Consumer Goods</b>	-	-	-	-	-	-	-	-	-
4530	Health Care Equipment & Services	-	-	-	-	-	-	-	-	-
4570	Pharmaceuticals & Biotechnology	-	-	-	-	-	-	-	-	-
4000	<b>Total Health Care</b>	-	-	-	-	-	-	-	-	-
5330	Food & Drug Retailers	-	-	-	-	-	-	-	-	-
5370	General Retailers	-	-	-	-	-	-	-	-	-
5550	Media	1	-	-	-	-	-	-	-	-
5750	Travel & Leisure	-	-	-	-	-	-	1	-	-
5000	<b>Total Consumer Services</b>	1	-	-	-	-	-	1	-	-
6530	Fixed Line Telecommunications	-	-	-	-	-	-	-	-	-
6570	Mobile Telecommunications	-	-	-	-	-	-	-	-	-
6000	<b>Total Telecommunications</b>	-	-	-	-	-	-	-	-	-
7530	Electricity	-	-	-	-	-	-	-	-	-
7570	Gas, Water & Multiutilities	-	-	-	-	-	-	-	-	-
7000	<b>Total Utilities</b>	-	-	-	-	-	-	-	-	-
8350	Banks	-	-	-	-	-	-	-	-	-
8530	Nonlife Insurance	-	-	-	-	-	-	-	-	-
8570	Life Insurance	-	-	-	-	-	-	-	-	-
8630	Real Estate Investment & Services	-	-	-	-	-	-	-	-	-
8670	Real Estate Investment Trusts	-	1	350.00	-	-	-	-	-	-
8730	Real Estate	-	-	-	-	-	-	-	-	-
8770	General Financial	-	-	-	-	-	-	-	-	-
8980	Equity Investment Instruments	-	-	-	-	-	-	-	-	-
8990	Nonequity Investment Instruments	-	-	-	-	-	-	-	-	-
8000	<b>Total Financials</b>	-	1	350.00	-	-	-	-	-	-
9530	Software & Computer Services	-	-	-	-	-	-	-	-	-
9570	Technology Hardware & Equipment	-	-	-	-	-	-	-	-	-
9000	<b>Total Technology</b>	-	-	-	-	-	-	-	-	-
	<b>Total Equities</b>	1	1	350.00	-	-	-	1	-	-
	<b>Company Fixed Interest</b>									
	Debentures and Loans	-	-	-	-	-	-	-	-	-
	Convertibles	-	-	-	-	-	-	-	-	-
	Preference	-	-	-	-	-	-	-	-	-
	<b>Total Company Fixed Interest</b>	-	-	-	-	-	-	-	-	-



# Table 5a: New Issues by Business Sector

Year to date

## Main Market - UK Listed

Group	Business sector	New Companies (IPOs)			Transfers			Re-Admissions		
		Introduction	Placing	New Money Raised	Introduction	Placing	New Money Raised	Introduction	Placing	New Money Raised
		No. of co's	No. of co's	(£m)	No. of co's	No. of co's	(£m)	No. of co's	No. of co's	(£m)
	<b>Equities</b>									
0530	Oil & Gas Producers	-	-	-	-	-	-	-	-	-
0570	Oil Equipment, Services & Distribution	-	-	-	-	-	-	-	-	-
0580	Alternative Energy	-	-	-	-	-	-	-	-	-
0001	<b>Total Oil &amp; Gas</b>	-	-	-	-	-	-	-	-	-
1350	Chemicals	-	-	-	-	-	-	-	-	-
1730	Forestry & Paper	-	-	-	-	-	-	-	-	-
1750	Industrial Metals	-	-	-	-	-	-	-	-	-
1770	Mining	-	-	-	-	-	-	-	-	-
1000	<b>Total Basic Industries</b>	-	-	-	-	-	-	-	-	-
2350	Construction & Materials	-	1	-	-	-	-	-	-	-
2710	Aerospace & Defense	-	-	-	-	-	-	-	-	-
2720	General Industrials	-	-	-	-	-	-	-	-	-
2730	Electronic & Electrical Equipment	-	1	26.00	-	-	-	-	-	-
2750	Industrial Engineering	-	-	-	-	-	-	1	-	-
2770	Industrial Transportation	-	-	-	-	-	-	-	-	-
2790	Support Services	-	1	262.45	-	-	-	-	-	-
2000	<b>Total Industrials</b>	-	3	288.45	-	-	-	1	-	-
3350	Automobiles & Parts	-	-	-	-	-	-	-	-	-
3530	Beverages	-	-	-	-	-	-	-	-	-
3570	Food Producers	-	-	-	-	-	-	-	-	-
3720	Household Goods	-	1	130.00	-	-	-	-	-	-
3740	Leisure Goods	-	-	-	-	-	-	-	-	-
3760	Personal Goods	1	-	-	-	-	-	-	-	-
3780	Tobacco	-	-	-	-	-	-	-	-	-
3000	<b>Total Consumer Goods</b>	1	1	130.00	-	-	-	-	-	-
4530	Health Care Equipment & Services	-	2	1,467.00	-	-	-	-	1	600.00
4570	Pharmaceuticals & Biotechnology	-	-	-	-	-	-	-	-	-
4000	<b>Total Health Care</b>	-	2	1,467.00	-	-	-	-	1	600.00
5330	Food & Drug Retailers	-	-	-	-	-	-	-	-	-
5370	General Retailers	-	1	-	-	-	-	-	-	-
5550	Media	1	1	199.90	-	-	-	-	-	-
5750	Travel & Leisure	-	1	-	-	1	150.00	1	-	-
5000	<b>Total Consumer Services</b>	1	3	199.90	-	1	150.00	1	-	-
6530	Fixed Line Telecommunications	-	-	-	-	-	-	-	-	-
6570	Mobile Telecommunications	-	-	-	-	-	-	-	-	-
6000	<b>Total Telecommunications</b>	-	-	-	-	-	-	-	-	-
7530	Electricity	-	-	-	-	-	-	-	-	-
7570	Gas, Water & Multiutilities	-	-	-	-	-	-	-	-	-
7000	<b>Total Utilities</b>	-	-	-	-	-	-	-	-	-
8350	Banks	2	1	-	1	-	-	-	-	-
8530	Nonlife Insurance	-	-	-	-	-	-	-	-	-
8570	Life Insurance	-	-	-	-	-	-	-	-	-
8630	Real Estate Investment & Services	-	-	-	1	-	-	-	-	-
8670	Real Estate Investment Trusts	-	1	350.00	1	-	-	-	-	-
8730	Real Estate	-	-	-	-	-	-	-	-	-
8770	General Financial	-	1	14.85	-	-	-	-	-	-
8980	Equity Investment Instruments	1	2	110.93	1	-	-	-	-	-
8990	Nonequity Investment Instruments	-	6	9.23	-	-	-	1	1	0.77
8000	<b>Total Financials</b>	4	11	485.02	4	-	-	1	1	0.77
9530	Software & Computer Services	-	-	-	-	-	-	-	-	-
9570	Technology Hardware & Equipment	-	1	2.00	-	-	-	-	-	-
9000	<b>Total Technology</b>	-	1	2.00	-	-	-	-	-	-
	<b>Total Equities</b>	6	21	2,572.37	4	1	150.00	3	2	600.76
	<b>Company Fixed Interest</b>									
	Debentures and Loans	-	-	-	-	-	-	-	-	-
	Convertibles	-	-	-	-	-	-	-	-	-
	Preference	-	1	30.00	-	-	-	-	-	-
	<b>Total Company Fixed Interest</b>	-	1	30.00	-	-	-	-	-	-

# Table 6: Distribution of Issues by Equity Money Raised

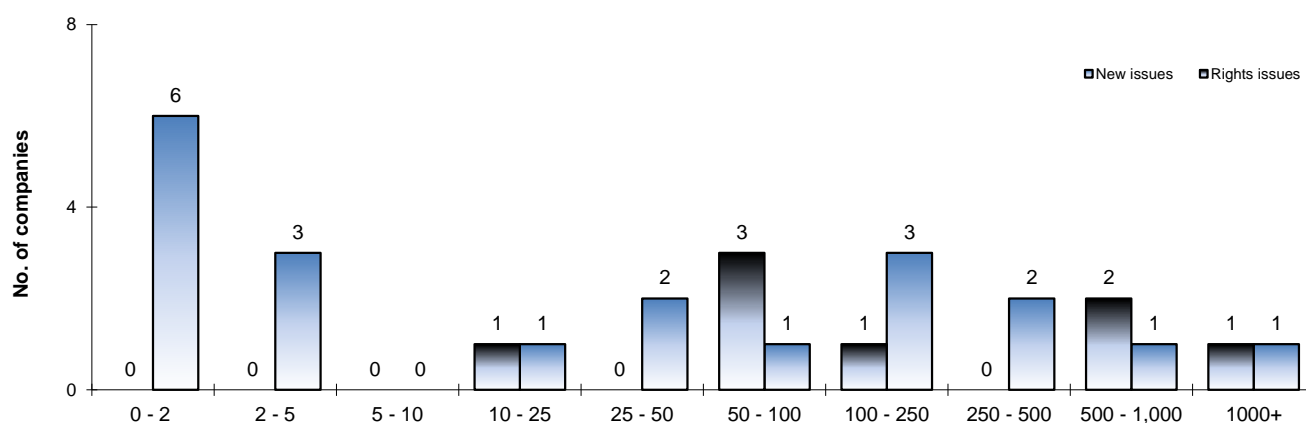
## Main Market - UK Listed

Money raised range (£m)	Total		of which						
	No. of issues	Money raised (£m)	New issues		Rights issues		Other issues		
			No. of companies	New Money raised at issue (£m)	No. of issues	Money raised (£m)	No. of issues	Money raised (£m)	
<b>November 2016</b>									
1000+	0	0.00	0	0.00	0	0.00	0	0.00	
500 - 1,000	0	0.00	0	0.00	0	0.00	0	0.00	
250 - 500	1	350.00	1	350.00	0	0.00	0	0.00	
100 - 250	2	262.26	0	0.00	0	0.00	2	262.26	
50 - 100	2	142.05	0	0.00	0	0.00	2	142.05	
25 - 50	0	0.00	0	0.00	0	0.00	0	0.00	
10 - 25	1	15.64	0	0.00	0	0.00	1	15.64	
5 - 10	1	7.66	0	0.00	0	0.00	1	7.66	
2 - 5	1	2.22	0	0.00	0	0.00	1	2.22	
0 - 2	8	3.27	0	0.00	0	0.00	8	3.27	
<b>Totals</b>	<b>16</b>	<b>783.09</b>	<b>1</b>	<b>350.00</b>	<b>0</b>	<b>0.00</b>	<b>15</b>	<b>433.09</b>	
More than £50m	5	754.31	1	350.00	0	0.00	4	404.31	
Less than £50m	11	28.78	0	0.00	0	0.00	11	28.78	
Less than £25m	11	28.78	0	0.00	0	0.00	11	28.78	

## Year to date

1000+	2	3,119.53	1	1,465.00	1	1,654.53	0	0.00
500 - 1,000	4	2,522.12	1	600.00	2	1,222.12	1	700.00
250 - 500	5	1,672.70	2	612.45	0	0.00	3	1,060.25
100 - 250	17	2,526.98	3	479.90	1	232.68	13	1,814.40
50 - 100	21	1,551.96	1	80.02	3	252.35	17	1,219.58
25 - 50	15	562.44	2	56.91	0	0.00	13	505.53
10 - 25	23	373.83	1	14.85	1	20.87	21	338.11
5 - 10	20	145.19	0	0.00	0	0.00	20	145.19
2 - 5	56	170.09	3	8.40	0	0.00	53	161.69
0 - 2	197	82.14	6	5.59	0	0.00	191	76.55
<b>Totals</b>	<b>360</b>	<b>12,726.98</b>	<b>20</b>	<b>3,323.13</b>	<b>8</b>	<b>3,382.55</b>	<b>332</b>	<b>6,021.30</b>
More than £50m	49	11,393.30	8	3,237.37	7	3,361.68	34	4,794.24
Less than £50m	311	1,333.69	12	85.76	1	20.87	298	1,227.06
Less than £25m	296	771.25	10	28.85	1	20.87	285	721.54

Distribution of issues by equity money raised  
Year to date



## Table 7: New Issues (IPOs) by Equity Market Value at Issue

### Main Market - UK Listed

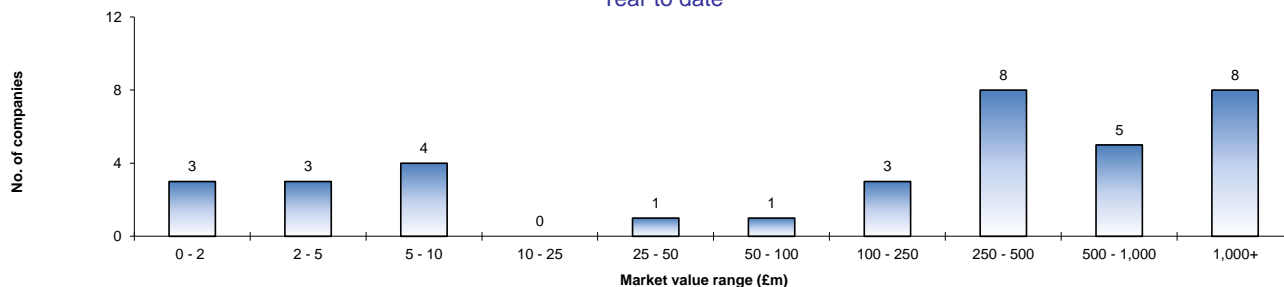
Market value range (£m)	Total			New companies			of which			Other		
	No. of companies	Equity market value (£m)	Money raised (£m)	No. of companies	Equity market value (£m)	New Money raised (£m)	New investment companies			No. of companies	Equity market value (£m)	Money raised (£m)
							No. of companies	Equity market value (£m)	New Money raised (£m)			
November 2016												
1,000+	1	2,670.1	0.0	0	0.0	0.0	0	0.0	0.0	1	2,670.1	0.0
500 - 1,000	0	0.0	0.0	0	0.0	0.0	0	0.0	0.0	0	0.0	0.0
250 - 500	2	662.7	350.0	2	662.7	350.0	0	0.0	0.0	0	0.0	0.0
100 - 250	0	0.0	0.0	0	0.0	0.0	0	0.0	0.0	0	0.0	0.0
50 - 100	0	0.0	0.0	0	0.0	0.0	0	0.0	0.0	0	0.0	0.0
25 - 50	0	0.0	0.0	0	0.0	0.0	0	0.0	0.0	0	0.0	0.0
10 - 25	0	0.0	0.0	0	0.0	0.0	0	0.0	0.0	0	0.0	0.0
5 - 10	0	0.0	0.0	0	0.0	0.0	0	0.0	0.0	0	0.0	0.0
2 - 5	0	0.0	0.0	0	0.0	0.0	0	0.0	0.0	0	0.0	0.0
0 - 2	0	0.0	0.0	0	0.0	0.0	0	0.0	0.0	0	0.0	0.0
<b>Totals</b>	<b>3</b>	<b>3,332.8</b>	<b>350.0</b>	<b>2</b>	<b>662.7</b>	<b>350.0</b>	<b>0</b>	<b>0.0</b>	<b>0.0</b>	<b>1</b>	<b>2,670.1</b>	<b>0.0</b>
More than £50m	3	3,332.8	350.0	2	662.7	350.0	0	0.0	0.0	1	2,670.1	0.0
Less than £50m	0	0.0	0.0	0	0.0	0.0	0	0.0	0.0	0	0.0	0.0
Less than £25m	0	0.0	0.0	0	0.0	0.0	0	0.0	0.0	0	0.0	0.0

### Year to date

1,000+	8	23,606.4	2,345.0	4	9,509.4	1,595.0	0	0.0	0.0	4	14,096.9	750.0
500 - 1,000	5	3,709.6	214.8	3	2,047.5	214.8	0	0.0	0.0	2	1,662.1	0.0
250 - 500	8	2,908.2	612.4	6	2,104.8	612.4	1	384.8	0.0	2	803.4	0.0
100 - 250	3	690.7	26.0	2	471.9	26.0	0	0.0	0.0	1	218.8	0.0
50 - 100	1	81.2	80.0	1	81.2	80.0	1	81.2	80.0	0	0.0	0.0
25 - 50	1	38.6	30.9	1	38.6	30.9	1	38.6	30.9	0	0.0	0.0
10 - 25	0	0.0	0.0	0	0.0	0.0	0	0.0	0.0	0	0.0	0.0
5 - 10	4	27.8	8.4	4	27.8	8.4	0	0.0	0.0	0	0.0	0.0
2 - 5	3	10.0	2.7	2	5.9	2.0	0	0.0	0.0	1	4.1	0.8
0 - 2	3	4.6	2.9	3	4.6	2.9	0	0.0	0.0	0	0.0	0.0
<b>Totals*</b>	<b>36</b>	<b>31,077.0</b>	<b>3,323.1</b>	<b>26</b>	<b>14,291.7</b>	<b>2,572.4</b>	<b>3</b>	<b>504.7</b>	<b>110.9</b>	<b>10</b>	<b>16,785.4</b>	<b>750.8</b>
More than £50m	25	30,996.0	3,278.2	16	14,214.8	2,528.2	2	466.1	80.0	9	16,781.2	750.0
Less than £50m	11	81.0	44.9	10	76.9	44.1	1	38.6	30.9	1	4.1	0.8
Less than £25m	10	42.4	14.0	9	38.3	13.2	0	0.0	0.0	1	4.1	0.8

\* Totals in BOLD include unvalued & suspended values

New issues by equity market value  
Year to date



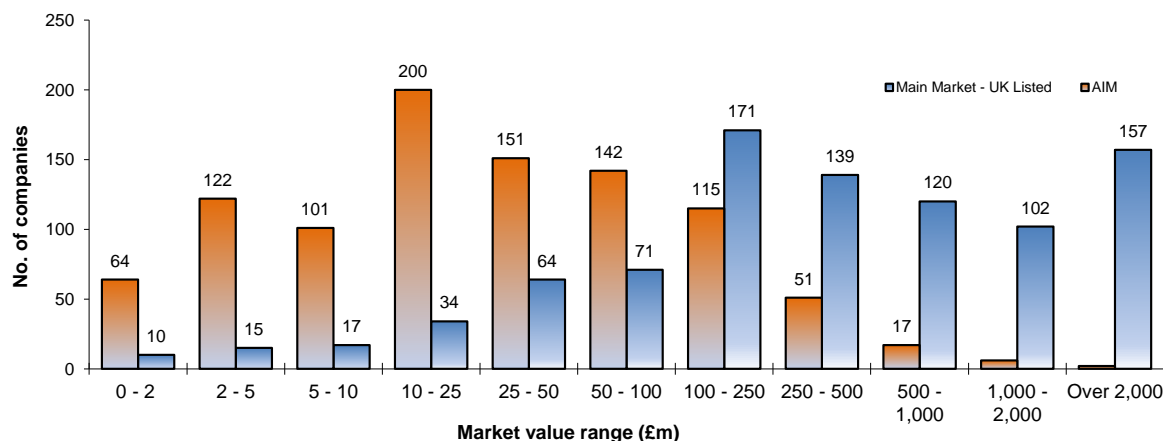
# Table 8: Distribution of Companies by Equity Market Value November 2016

Market value range (£m)	Main Market - UK Listed			
	No. of companies	%	Equity market value (£m)	%
Over 2,000	157	16.8	1,935,789.3	86.0
1,000 - 2,000	102	10.9	140,766.6	6.3
500 - 1,000	120	12.9	86,208.6	3.8
250 - 500	139	14.9	50,035.3	2.2
100 - 250	171	18.3	29,236.2	1.3
50 - 100	71	7.6	5,344.4	0.2
25 - 50	64	6.9	2,417.9	0.1
10 - 25	34	3.6	595.3	0.0
5 - 10	17	1.8	133.0	0.0
2 - 5	15	1.6	55.0	0.0
0 - 2	10	1.1	11.6	0.0
Unvalued securities	14	1.5	-	-
Suspended	19	2.0	-	-
<b>Totals*</b>	<b>933</b>	<b>100.0</b>	<b>2,250,593.2</b>	<b>100.0</b>
More than £50m	760	81.5	2,247,380.4	99.9
Less than £50m	140	15.0	3,212.8	0.1
Less than £25m	76	8.1	794.9	0.0

Market value range (£m)	AIM (UK & International)			
	No. of companies	%	Equity market value (£m)	%
Over 2,000	2	0.2	6,502.2	8.0
1,000 - 2,000	6	0.6	7,732.5	9.5
500 - 1,000	17	1.7	11,216.1	13.8
250 - 500	51	5.1	17,740.4	21.9
100 - 250	115	11.6	18,200.9	22.4
50 - 100	142	14.3	9,858.5	12.1
25 - 50	151	15.2	5,445.1	6.7
10 - 25	200	20.1	3,255.1	4.0
5 - 10	101	10.2	738.2	0.9
2 - 5	122	12.3	403.9	0.5
0 - 2	64	6.4	79.9	0.1
Unvalued securities	0	0.0	-	-
Suspended	22	2.2	-	-
<b>Totals *</b>	<b>993</b>	<b>100.0</b>	<b>81,172.7</b>	<b>100.0</b>
More than £50m	333	33.5	71,250.6	87.8
Less than £50m	638	64.2	9,922.1	12.2
Less than £25m	487	49.0	4,477.0	5.5

\* Totals in BOLD include unvalued & suspended values  
UK techMARK companies are also included in "Main Market - UK Listed"

### Distribution of companies by equity market value



# Market Summary

November 2016

Company data	Main Market UK Listed		Main Market International Listed	
	Nov 16	Year to date	Nov 16	Year to date
New companies	3	38	0	9
Delistings/Transfers	2	50	1	15
<b>30 November 2016</b>				
Trading		969		266
Suspended		19		7
<b>Total companies</b>		<b>988</b>		<b>273</b>
<b>Equity market value (£bn)</b>		<b>2,250.6</b>		<b>2,016.7</b>

## Money raised

November 2016	Main Market UK Listed		Main Market International Listed	
	No. of co's / issues	Money raised (£m)	No. of co's / issues	Money raised (£m)
<b>Equity</b>				
New Issues	1	350.0	0	0.0
Further issues	15	433.1	1	0.0
<b>Total</b>	<b>16</b>	<b>783.1</b>	<b>1</b>	<b>0.0</b>
<b>Fixed Interest</b>				
New & Further Issues	0	0.0	0	0.0
Eurobonds / MTN's	46	5,814.6	72	6,614.7
<b>Total</b>	<b>46</b>	<b>5,814.6</b>	<b>72</b>	<b>6,614.7</b>
Public sector	0	0.0	0	0.0
<b>Grand Total</b>	<b>62</b>	<b>6,597.7</b>	<b>73</b>	<b>6,614.7</b>

## Year to date

<b>Equity</b>				
New Issues	24	3,323.1	4	4.9
Further issues	340	9,403.9	20	1,119.1
<b>Total</b>	<b>364</b>	<b>12,727.0</b>	<b>24</b>	<b>1,124.0</b>
<b>Fixed Interest</b>				
New & Further Issues	1	30.0	0	0.0
Eurobonds / MTN's	714	100,876.5	835	154,384.0
<b>Total</b>	<b>715</b>	<b>100,906.5</b>	<b>835</b>	<b>154,384.0</b>
Public sector	33	80,334.6	2	3,942.1
<b>Grand Total</b>	<b>1,112</b>	<b>193,968.1</b>	<b>861</b>	<b>159,450.0</b>

# Notes to the statistics

## **Table 1: Main Market - UK listed companies: further issues**

Other issues exclude eurobonds and medium term note tranches.

## **Table 2: Main Market - International listed companies: new issues**

Fixed interest summary figures exclude eurobonds and medium term note tranches.

## **Table 3: New and further issues by method**

New companies. Equity issues only. Refer to Table 1 for full details of equity and fixed interest issues.

Further issues. Equity issues only and excludes non-money raising issues. Refer to Table 1 for details of further issues during the month.

Fixed interest. Figures include new and further issues. Convertibles do not include convertible eurobonds.

Covered Warrant issues include all covered warrants issued, and not just those on the dedicated Covered Warrant market.

## **Table 5: Money raised by business sector**

Excludes non-money raising new companies and non-money raising further issues.

## **Table 5a: New Issues by business sector**

New Companies - companies new to the exchange split by "Introductions" (non-money raising) and "Money Raising" companies that have raised money on listing

Transfers - companies that have transferred from one market to another (Aim to Main or Main to AIM)

Re-admissions - companies that have cancelled their listing and re-admitted the same day (Scheme's / Reverse takeovers)

If a company issues an Equity and a Fixed Interest the company count will appear in the equity section and not the fixed interest.

Money raised will appear in both equity and fixed interest

## **Table 6: Distribution of companies by equity money raised**

Table excludes non-money raising new companies and non-money raising further issues.

## **Table 7: New issues by equity market value at issue**

Other includes re-listings and transfers. See definition of terms below.

## **Table 8: Distribution of companies by equity market value**

Unvalued securities include those companies where a price is not available.

More than £50m etc. Unvalued and suspended securities are excluded from figures in italics.

Equity market value at month end. The number of shares issued multiplied by the month end closing mid-price.

## **Main market summary**

New money raised includes only money raising companies during the month.

Total Companies includes companies with no equity listed. Average market capitalisation of equity companies should therefore be calculated using number of equity companies listed in Table 9.

# Definition of terms

**DRs** include all types of depositary receipt. For example, ADRs, GDRs and ADS.

**Market value at issue**

Represents the total number of shares multiplied by the closing price on the day of admission.

**New company**

A company listing on the Main Market for the first time.

**Transfer**

A company transferring to another London Stock Exchange market. For example, AIM to the Main Market.

**Relisting**

A company relisting following cancellation of a previous listing on a London Stock Exchange market.

**£bn** refers to 10<sup>9</sup>

**Source** of the Primary Market fact sheet information is the London Stock Exchange Listing Applications and AIM departments with the information originating directly from the issuer and broker together with various standing data files.

## Economic and Market Information Service

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