

Chapter 8

Primary Information Providers

Headline codes and categories

Headline code	Headline Category	Description
Urgent priority		
SUS	Temporary Suspension	Submitted to indicate that a security has been restored to the Official List
SRS	Statement re. Suspension	Statement regarding the suspension of listing/trading of a company's listed securities
REN	Restoration of Listing	Submitted to indicate that a security has been restored to the Official List
NOT	Official List Notice (UKLA use only)	Submitted to indicate that a security has been admitted to/cancelled from the Official List
LIS	Initial admission to the Official List	Statement regarding the initial admission of securities to the Official List
MSCU	Miscellaneous – Urgent Priority	Miscellaneous urgent priority announcements
High priority		
QRF	1st Quarter Results	First quarter financial results
QRT	3rd Quarter Results	Third quarter and nine months financial results
ACQ	Acquisition	Statement regarding an acquisition of a company or assets
AGM	AGM Statement	Statement made at a company's AGM
ACS	Annual Financial Report	Publication of a company's annual financial report
CAR	Capital Reorganisation	Notification of the restructuring of a company's existing share capital
CON	Conversion of Securities	Notification of the details of a conversion of securities (e.g. warrants/convertible loan stock)
TAB	Disclosure Table (POTAM use only)	Notification of companies currently in offer period
DIS	Disposal	Statement regarding the disposal of a company or assets
DRL	Drilling/Production Report	Report given by mineral, oil and natural gas companies
GMS	GM Statement	Statement made at a company's general meeting, other than at an AGM

Headline code	Headline Category	Description
FR	Final Results	Announcement of full year/4th quarter financial results
FEE	Form 8 (OPD) [Insert name of offeree or offeror]	Opening position disclosure by a party to an offer
FEO	Form 8.5 (EPT/NON-RI)	Opening position disclosure/ dealing disclosure by an exempt principal trader without recognised intermediary ("RI") status or where RI status is not applicable
FER	Form 8.5 (EPT/RI)	Dealing disclosure by an exempt principal trader with recognised intermediary ("RI") status dealing in a client-serving capacity
FUR	Further re (insert appropriate text)	Announcement made following an initial, related announcement
IR	Half-year Report	Announcement of half-year/second quarter financial results
IOD	Issue of Debt	Notification of an issue of debentures, debenture or loan stock, bonds and notes, whether secured or unsecured
IOE	Issue of Equity	Notification of an issue of equity shares e.g. offer for subscription/offer for sale/rights issue
LOI	Letter of Intent Signed	Statement regarding a letter of intent, memorandum of understanding or heads of terms signed between entities
MER	Merger Update(CMA use only)	Statement regarding a decision by the Competition and Markets Authority (CMA) to refer a takeover/merger to a CMA Inquiry Group
OFB	Offer by [add offeror's name]	Statement giving details of an offer announced by the offeree
OFF	Offer for [add offeree's name]	Statement giving details of an offer announced by the offeror
OLA	Offer Lapsed	Statement declaring that the required acceptances for an offer to be successful have not been obtained and that the offer has lapsed
ORE	Offer Rejection	Statement that an offer has been rejected
OTT	Offer Talks Terminated	Statement that a company's offer discussions have been terminated without an offer being made

Headline code	Headline Category	Description
OUP	Offer Update	Statement giving an update on an offer e.g. offer acceptances/ offer extension/offer becoming wholly unconditional
PNM	Prior Notice of Merger (CMA use only)	Statement by the Competition and Markets Authority (CMA) regarding a proposed merger
PRL	Product Launch	Statement regarding the launch of a new product by a company
AGR	Agreement	Statement regarding an agreement between entities
ALL	Alliance	Statement regarding an alliance or collaboration between entities
CNT	Contract	Statement regarding a contract entered into/awarded
JVE	Joint Venture	Statement regarding a joint venture between entities
RAP	Regulatory Application	Application by a company to a regulatory body for a product or service (e.g. approval to market a pharmaceutical product)
REA	Regulatory Approval	Approval from a regulatory body for a company's product or service (e.g. approval to market a pharmaceutical product)
RES	Research Update	A statement giving an update on research (e.g. clinical trials)
RSP	Response to (insert appropriate text)	Statement submitted in response to a previous statement made by another entity/body
REP	Restructure Proposals	Statement regarding the proposed operational restructuring of a company
RAG	Result of AGM	Notification of the result of any voting at an AGM
ROI	Result of Equity Issue	Notification of the result of an issue of equity shares e.g. offer for subscription/offer for sale/ rights issue
ROM	Result of Meeting	Notification of the results of any voting at a general meeting, other than at an AGM
RTE	Result of Tender Offer	Notification of the result of a tender offer
DCC	Form 8 (DD) - [Insert name of offeree or offeror	Dealing disclosure by a party to an offer or person acting in concert (including for the account of discretionary investment clients)

Headline code	Headline Category	Description
RET	Form 8.3 - [Insert name of offeree or offeror]	Opening position disclosure/ dealing disclosure by a person with interests in relevant securities representing 1% or more
SOA	Scheme of Arrangement	Statement giving details of a scheme of arrangement
STR	Statement re (insert appropriate text)	Statement regarding a particular issue
STC	Statement re (insert appropriate text) (CMA use only)	Statement by the Competition and Markets Authority regarding the outcome of its investigation of a takeover/merger
OFD	Statement re Possible Offer	Statement that a company is in discussions which may or may not lead to an offer being made
SPC	Statement re Press Comment	Statement regarding press comment
SPM	Statement re Share Price Movement	Statement regarding a movement in the price of a company's listed securities
SYR	Syndicate Results	Statement of results submitted by Lloyd's
TEN	Tender Offer	Notification of a tender offer
TVR	Total Voting Rights	Notification of a change in the total number of voting rights
TST	Trading Statement	Statement regarding a company's trading performance (e.g. profit warning)
POS	Transaction in Own Shares*	Notification of a transaction involving own shares, including a purchase, sale, redemption, cancellation, transfer or allotment
PGR	Report on Payments to Governments	Publication of report on payments to governments
UPD	Strategy/Company/ Operations Update	Statement regarding strategy, company or operations update, which is not a trading statement (TST)
IRS	Industry Regulator Statement	Statement from an industry regulator (for example a regulatory body listed in DTR 8 Annex1), unless there is a specific headline code for the information in question
MSCH	Miscellaneous – High Priority	Miscellaneous high priority announcements
Medium priority		
ARI	Announcement re: Rights Issue	Announcement by an issuer confirming the commencement of a Rights Issue period

Headline code	Headline Category	Description
ALS	Additional Listing	Notification by an issuer of the admission to the Official List of further securities of a class already admitted to listing
BRC	Base Rate Change	Announcement of a change in bank base rate
BLR	Block listing Interim Review*	Six monthly notification by a company issuing securities on a regular basis. Notification of a company's annual report & accounts
CMC	Compliance with Model Code	Statement by a closed-ended investment fund under LR 15.5.1 R confirming it is satisfied that all inside information has been previously notified.
CAS	Compulsory Acquisition of Shares	Statement regarding the compulsory acquisition of shares
DSH	Director/PDMR Shareholding*	Notification of issuers, persons discharging managerial responsibilities and their connected persons in respect of transactions conducted in their own account in shares of the issuer or derivatives or any other financial instrument relating to those shares
BOA	Directorate change	Notification of any change to a company's board e.g. appointments/resignations/changes to important functions or executive responsibilities of a director
DIV	Dividend Declaration	Declaration of a dividend issued by a company
RC	FRN Variable Rate Fix	Update of interest rate for a floating rate note
GEO	Geographical Distribution	Notification by an investment company/trust of the geographical distribution of its assets
HOL	Holding(s) in Company*	Notification of major interests in shares/financial instruments
NAV	Net Asset Value(s)	Notification by an investment company/trust of its Net Asset Value
PFU	Portfolio Update	Periodic notification by an investment company/trust of its investment portfolio
PDI	Publication of a Prospectus	Publication of a prospectus in accordance with the Prospectus Rules
PSP	Publication of a Supplementary Prospectus	Publication of a supplementary prospectus in accordance with the Prospectus Rules

Headline code	Headline Category	Description
PFT	Publication of Final Terms	Publication of final terms in accordance with the Prospectus Rules
RTT	Rule 2.10 Announcement	Announcement by an offeree company at the beginning of an offer period regarding details of all relevant securities issued by the company together with the numbers of such securities in issue as required by the Take-over Panel.
TAV	Total Assets Value	Notification by an investment company/trust of its Total Asset Value
TRS	Treasury Stock	Notification of the rate of interest payable on treasury stocks
ITF	Intention to Float	Notification of an intention to apply for the admission of shares to trading on a securities market
MSCM	Miscellaneous – Medium Priority	Miscellaneous medium priority announcements
Low priority		
CAN	Change of Name	Notification of a company's change of name
CIR	Circ re. [insert appropriate document title]	Notification that a document issued to holders of listed securities (including notices of meetings but excluding listing particulars, annual report and accounts, interim reports, proxy cards and dividend or interest vouchers) is available for public inspection
COS	Company Secretary Change	Notification of the appointment/resignation of a company secretary
RDN	Director Declaration	Notification regarding any of the matters in LR 9.6.13R
DOC	Doc re. [insert appropriate document title]	Notification that a document issued to holder of listed securities is available for public inspection
NAR	New Accounting Ref Date	Notification of a change in a company's accounting reference date
NOA	Notice of AGM	Notification of a company's annual general meeting
NOG	Notice of GM	Notification of a company's general meeting, other than an AGM
NOR	Notice of Results	Notification of the date financial results will be published

Headline code	Headline Category	Description
ODP	Offer Document Posted	Statement that offer document has been posted to holders of a company's listed securities
MSCL	Miscellaneous – Low Priority	Miscellaneous low priority announcements
TSM	Test Message	Message submitted to test announcement system but not published

* Headline category is associated with a standard form, which is available on the *FCA's* website.