

CERTIFICATION TEST REPORT



LONDON
STOCK
EXCHANGE
TURQUOISE

Participant Firm Name	
Contact Name	
Contact Phone	
Contact Email	
Software Name	
Software Version	
UnCoordinated Test Date/Time From	DD/MM/YYYY 00:00
UnCoordinated Test Date/Time To	DD/MM/YYYY 00:00
Coordinated Test Date/Time From	DD/MM/YYYY 00:00
Coordinated Test Date/Time To	DD/MM/YYYY 00:00
Test Results (to be completed Internally)	

Comp IDs Tested
FIX:
Native:
Post Trade:
Drop Copy:
MITCH Replay:
MITCH Snapshot:
GTP Replay:
GTP Snapshot:

NB: Customers should perform all test cycles supported by their software application

			To be filled in by Customer		To be filled in Internally		
Activity			Test case ID	Completed on TQ	Customer Comments	Market Access Comments	Pass/Fail
Market Data	GTP Replay Request	MIT-005					
	GTP Snapshot Request	MIT-006					
Trading and order management	Establish Logon for Test Cycle	MIT-100					
	Market Order	MIT-101					
	Limit Passive Only Order	MIT-102					
	Aggressive Limit Order	MIT-103					
	Limit Passive Order	MIT-104					
	Dark Pegged Order	MIT-105					
	Order Generated by Algorithm	MIT-106					
	Order Generated as Part of Liquidity Provision Activity	MIT-107					
	Order Generated via Direct Electronic Access (DEA)	MIT-108					
	Iceberg Order	MIT-109					
	Randomised Iceberg Orders	MIT-110					
	Order Modification	MIT-111					
	Order Cancel	MIT-112					
	Entry of Dark Lit Sweep Order Type	MIT-113					
	Block Discovery – Enter BI and QBO	MIT-114					
	Block Discovery – Enter Order with BDN	MIT-115					
	Block Discovery – Breach of Reputational Score	MIT-116					
	Block Discovery – Cancellation of Qualifying Block Orders (QBOs)	MIT-117					
	Cancel on Disconnect	MIT-118					
	Cancel Client's Order	MIT-119					
	Cancel Client's Trade	MIT-120					
	Request Missed Messages – Native Only	MIT-121					
	Entry of Turquoise Plato Lit Auction order	MIT-122					
	Entry of Turquoise NYLON order	MIT-123					
	Entry of Turquoise Plato Lit Auction Retail order	MIT-124					
	Entry of Turquoise Plato Lit Auction order Retail Liquidity Provider order	MIT-125					
Post Trade Gateway	Establish Logon for Test Cycle	MIT-200					
	Own Trade Book Download	MIT-201					
Drop Copy Gateway	Establish Logon for Test Cycle	MIT-300					
	Own Order Book Download	MIT-301					
Error Handling – All Gateways	Error Handling	MIT-400					
Testing for New Applications	Password Change	MIT-500					
	Heartbeats Exchanged	MIT-501					
	Sequence Reset	MIT-502					
	Sequence Reset at Logon	MIT-503					
	Resend Request	MIT-504					

Customer Sign off.

In order to maintain an orderly and compliant market, the technical activity of client connections are constantly monitored. The London Stock Exchange Group retains the right through the rulebook and contractual agreements to disconnect customers if any inappropriate behaviour is observed.

The details and content in this report have been provided accurately and honestly by the customer and confirms the behaviour of the application in its production state.

The client hereby agrees with the LSEG Customer Connectivity Policy detailed at the below link for the named applications behaviour in the production environment.

<http://www.londonstockexchange.com/products-and-services/technical-library/technical-guidance-notes/technical-guidance-notes.htm>