

MEMBER PORTAL – TURQUOISE CLEARING

Member firm user guide

Document Version 2.0

Date of issue: 16 February 2022



**LONDON
STOCK
EXCHANGE**

Contents

1.0	Introduction.....	3
2.0	Home screen	4
2.1	User management.....	5
2.1.1	Updating role permissions	5
2.1.2	Creating new users with clearing configuration access.....	6
2.2	Member Clearing Changes.....	7
2.2.1	Status key	7
2.2.2	Accepting 'pending request' records	8
2.2.3	Rejecting 'pending request' clearing record	9

Document history

This document has been through the follow iterations:

Key Issues	Date	Description
1.0	7 February 2022	First version
2.0	16 February 2022	Second version

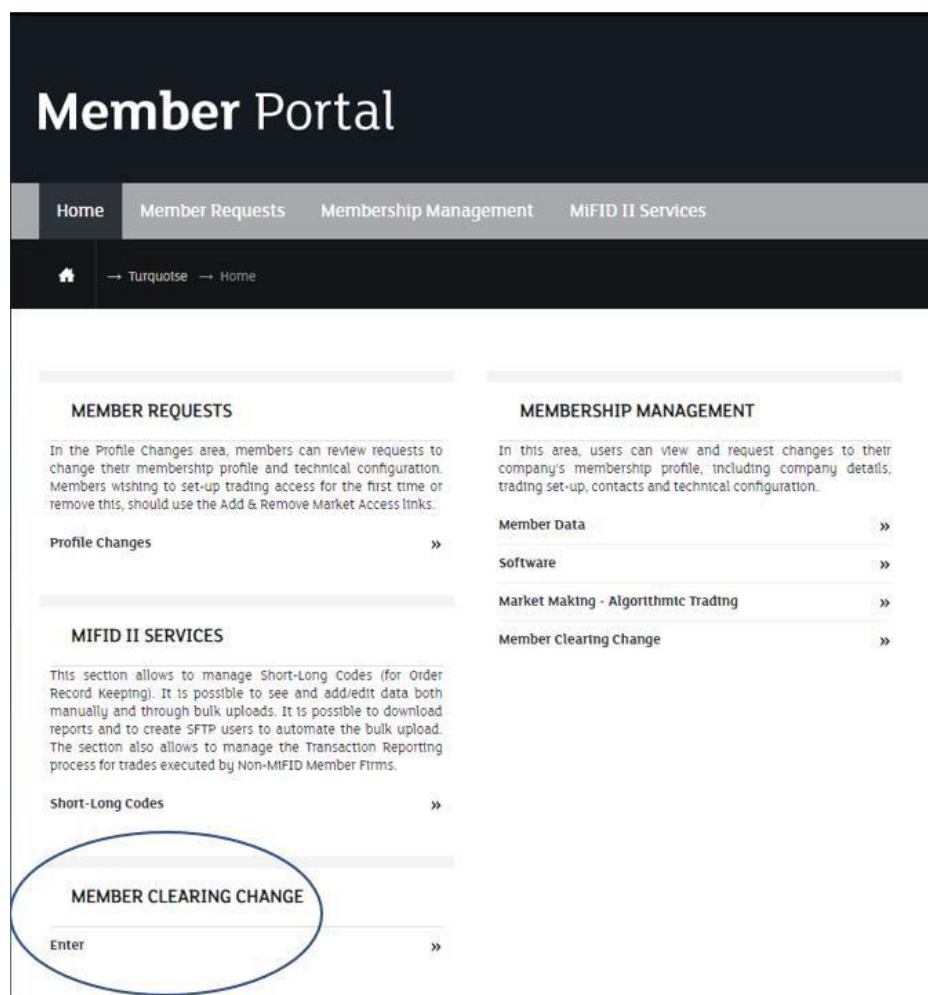
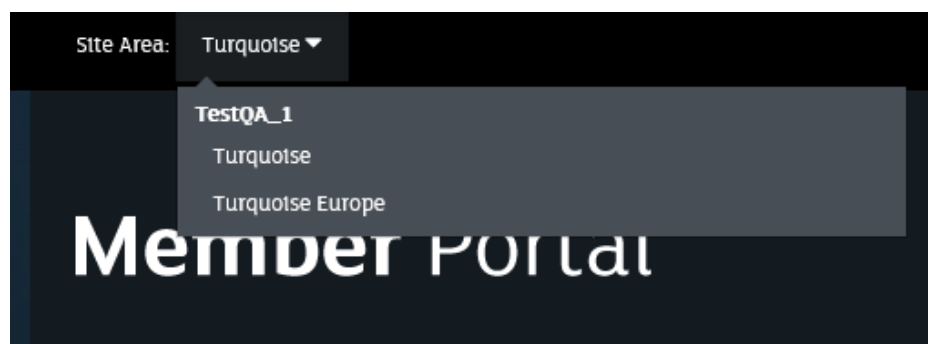
1.0 Introduction

Member Portal have introduced functionality for Customer Users to accept, view and manage CCP clearing requests for Turquoise UK and Turquoise Europe venues.

Clearing requests must be made and accepted before the cut off time 16:30 (GMT) to be included in the evening batch to the trading system for requests to go-live next day.

2.0 Home screen

Users can navigate between venues if they have the access (please refer to Section 2.1.2).



2.1 User management

There are two types of users that can be set up to view and change clearing setups:

- Super Users
- Company Users

A Super User is able to access all areas of the Member Portal with Read & Write permissions and is able to set up and permission other users for their company (Company Users). Super Users can administer the Clearing Configuration access by creating Company Users and setting the access rights for the users. Super Users can also grant existing Company Users with Clearing Configuration access to the related venue.

[→ Turquoise BE](#)
[→ Member firms](#)
[→ Barclays Capital Securities Limited](#)
[→ Users Management](#)

Users List

Page 1 of 1
20 Items per Page
Showing 13 results.
← First
Previous
Next
Last →

Full Name	Job Title	Roles	
User 1 User1@company1.com	RTB	Company User	
User 2 User2@company1.com	QPS Product	Company User	
User 3 User3@company1.com	RTB	Company User	
User 5 User5@company1.com	Venue Admin	Company User	
User 5 User5@company1.com	Director, Equities	Contr. Repres.	

2.1.1 Updating role permissions

Existing Company Users can have their permissions updated to have access to the 'Clearing Configuration' page. Super Users are able to update roles and permissions. In the roles section, the Super Users will need to enable 'Clearing Configuration' for the Company User. When enabled, the user will have read & write access to the module.

2.1.2 Creating new users with clearing configuration access

In the add form, fill in the user details:

In the roles section, the user will need to enable the sections the Company User is permitted to access. When enabled, the user will have read/write access to the module:

	None	Read	Read & Write
Clearing Configuration	☐	☐	☒

If a user is already set up for a venue, users can use the 'Select Existing User' field to import the user to the venue the user is being set up for (Turquoise UK and Turquoise EU). Once a Company User is enabled for both Turquoise and Turquoise Europe venues, the user can navigate between the two venues via the site area drop down in the top left of the screen.

2.2 Member Clearing Changes

The Member Clearing Changes area is a dashboard where users can view all new, active and historic successfully submitted clearing records. Each of the text filters allow wildcard searches by using the '%' sign.

→ Turquoise → Member Clearing Change

Multi-Approval

Clearing Configuration List

Company Name

Status

Requested by

Type of Request

Historical Status

Member ID

Node ID

Segment

CCP Code

Create Date From

Create Date To

Go Live Date From

Go Live Date To

Search

Clear Filter

Page 1 of 12

20 Items per Page

Showing 1 - 20 of 230 results.

[← First](#)
[Previous](#)
[Next](#)
[Last →](#)

Create Date	Status	Type of Request	memberid	nodeid	Market segment	Dealing Capacity	Clearing Account	CCP	clearing-memberid	Clearing Agent	Go Live Date
07/02/2022	✔	add	Member 1	TEST_NODE	AT_UK	all	all	CCPTST	TEST123	TEST123	08/02/2022
07/02/2022	✔	add	Member 1	TEST_NODE	BE_UK	all	all	CCPTST	TEST123	TEST123	08/02/2022
07/02/2022	✔	add	Member 1	TEST_NODE	CZ_UK	all	all	CCPTST	TEST123	TEST123	08/02/2022

2.2.1 Status key

Status	Definition
	Pending
	Published
	Archived
	Request Approved
	Request Rejected

Status icon	Meaning
Pending	New successfully submitted requests pending for approval (current date < go-live date). Pending clearing request records can be cancelled or modified on-screen up to the 16:30 (GMT) deadline on the day before they are due to go live.
Published	Active clearing record.
Archived	Historic clearing request that is no longer active.
Request Approved	The client has approved the CCP request and it is due to go live.
Request Rejected	The client has rejected the CCP request.

2.2.2 Accepting 'pending request' records

To view/action a pending request, the user selects the 'eye' icon under the clearing record. The user is able to see when and who made the request.

Create Date	Status	Type of Request	memberid	nodeid	Market segment	Dealing Capacity	Clearing Account	CCP	clearing-memberid	Clearing Agent	Go Live Date	
07/02/2022		add	Member 1	TEST_NODE	C2_UK	all	all	CCPTST	TEST1234	TEST1234	08/02/2022	
Request created on 08/02/2022 11:38 By Emanuel De Freitas												

The CCP may leave the Node ID blank in the initial clearing request. However, the user must populate the node ID before accepting the request. The Node ID field is a dropdown field and lists all the Nodes under the firm.

Clearing Configuration Workflow

CCP Provider (*)

CCPTST

Member ID

Member 1

Segment

FR_UK

Account Type

All

Clearing Agent

TEST1233

Company Name

Company Member 1

Node ID

Node_Test1

Dealing Capacity

All

Clearing Member ID

TEST1233

CCP Code

CCPTST

☒ ACCEPT REQUEST
 ☐ REJECT REQUEST

By accepting the pending request, the trading participant confirms relevant settlement is in place for the clearing line

Send

Once the request is 'Approved', the status icon will change and it will show who approved the request:

Create Date	Status	Type of Request	memberid	nodeid	Market segment	Dealing Capacity	Clearing Account	CCP	clearing-memberid	Clearing Agent	Go Live Date	
07/02/2022		add	Member 1	TEST_NODE	CZ_UK	all	all	CCPTST	TEST1234	TEST1234	08/02/2022	 
Request approved on 07/02/2022 16:06 By Emanuel De Freitas Go Live Date 08/02/2022												

2.2.3 Rejecting 'pending request' clearing record

Users can reject a clearing request that is 'Pending Request' by selecting the 'eye' button under the clearing record:

Request created on 08/02/2022 11:38 By Emanuel De Freitas


Clearing Configuration Workflow

CCP Provider (*)
CCPTST

Company Name
Company Member 1

Member ID
Member 1

Node ID
Node_Test1

Segment
FR_UK

Dealing Capacity
All

Account Type
All

Clearing Member ID
TEST1233

Clearing Agent
TEST1233

CCP Code
CCPTST

☐ ACCEPT REQUEST

☒ REJECT REQUEST



Send

The user can leave a note if they wish.

The status will be updated as 'Rejected' and it will also show who it was rejected by:

Create Date	Status	Type of Request	memberid	nodeid	Market segment	Dealing Capacity	Clearing Account	CCP	clearing-memberid	Clearing Agent	Go Live Date	
07/02/2022		add	Member 1	TEST_NODE	BE_UK	all	all	CCPTEST	CCPTEST	TEST1234	08/02/2022	 
Request rejected on 07/02/2022 16:17 By Emanuel De Freitas												

If the member firm does not action the request before the go-live date (16:30 the day before), the request will expire and the CCP user will have to resend the request again with a new go-live date.

An email notification is sent to the Super Users and Company Users that are enabled to access the 'Clearing Configuration' page. An e-mail notification is sent out when a request is 'Pending', 'Accepted', 'Rejected' and 'Expired'.

If there any issues or questions, please contact the Market Access team via email at: marketaccess@lseg.com.

