
AIM NOTICE

18 September 2014

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For the attention of Nominated Advisers/ Finance Directors/
Company Secretaries, all AIM Companies

A REMINDER TO AIM COMPANIES AND NOMADS AFTER DEVELOPMENTS IN UKRAINE

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1. The developments in the Ukraine resulting in the recent European Union (“EU”) sanctions against Russia, highlight the continuing need for nominated advisers (“nomad”) and AIM companies to keep up to date with and have due regard to their obligations in respect of sanctions generally and in the context of a nomad’s appropriateness considerations in respect of issuers.
2. Details of UK and EU financial sanctions are maintained and published by HM Treasury, and can be found at [HM Treasury's website](#).
3. In particular, the full text of the Council Regulation (EU) No 833/2014 which issued further restrictive measures against Russia on 31 July 2014 and the amendment to this regulation published on 12 September by Council Regulation (EU) No 960/2014 (together the “Regulation”) can be found at:

[Council Regulation \(EU\) No 833/2014](#)
[Council Regulation \(EU\) No 960/2014](#)

4. The Exchange requires that all AIM companies must inform their nomad immediately if either now, or if it becomes the case in the future, that they fall within the Regulation (or any subsequent amendment to those regulations).

CHANGES TO APPLICATION PROCESS

5. In order to comply with its obligations under the Regulation, for so long as the sanctions remain in force, the Exchange does not expect to admit to trading securities issued after 1 August 2014 by issuers within the scope of the Regulation or any subsequent amendments. In this context, the Exchange has modified its AIM application process and has made changes to the AIM application form.
6. The AIM application form is available at [London Stock Exchange's website](#).

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QUERIES ON THIS NOTICE

7. Queries from AIM companies on this Notice should be addressed to their nomad. Queries from nomads should be sent to AIM Regulation at: aimregulation@lseg.com.

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Head of AIM Regulation