

Application to trade in a suspended security

London Stock Exchange Rule 1513

A member firm may apply to the Exchange for permission to effect trades in a security in which trading is suspended by the Exchange. Permission must be obtained in respect of each proposed on Exchange trade.

1. Member firm making the application

Name of Firm:
Contact:
Address:
Email:
Phone/Fax:

2. Details of the security

Name of security:
ISIN:
SEDOL:
TIDM:
Number of shares in proposed transaction:
Price of proposed transaction:

3. Reason for the application

(Please tick appropriate box)

(i) Fill a short position which was acquired before the suspension or prohibition was imposed:	
(ii) Complete a derivative contract:	
(iii) Wind up a deceased persons estate:	
(iv) Create or redeem shares in an exchanged traded fund:	



(i) Short position

Size of the short position:
Counterparty name:
Address:
Telephone/FAX:
Breakdown of the trades that created the short position (If there are a number of trades please provide a spreadsheet):

(ii) Derivative contract

(Prior permission is not necessary in order to exercise an existing derivative contract. However, permission is required to purchase shares in order to deliver against a derivative contract, to the extent that this creates a short position).

Series (If Exchange traded):
Type of trade (eg.Sold call):
Date of trade:

If the derivative position is as agent for a client

Name and reference:
Address:
Telephone/FAX:



(iii) Deceased person's estate:

Name of deceased person:
Executor:
Name:
Address:
Telephone/FAX:

If the executor is a bank or other professional, give any reference number which has been assigned to the estate

Counterparty name:
Counterparty address:
Counterparty telephone/FAX:

4. Settlement

(Please provide details of how the trade would be settled):

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Declaration

Permission is hereby sought under rule 1513 to trade in a suspended security in accordance with the details in this application.

(One signature must be a compliance officer)

Signed:
Date:
Name and job title:
Signed:
Date:
Name and job title:

Please FAX the completed form to Market Supervision on 020 7920 4526.

London Stock Exchange decision

Permission granted/refused:
Signed:
Date:
Name and job title: