



**London**  
Stock Exchange

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30 January 2012

**For the attention of the  
chairman/senior partner/compliance officer,  
all member firms**

**N02/12**

## **STOCK EXCHANGE NOTICE**

### **CONFIRMATION OF PROPOSED RULE AMENDMENTS - SETTLEMENT RULES**

#### **Introduction**

1. Stock Exchange Notice N17/11 invited comments on proposed amendments to the Rules of the London Stock Exchange (“the rules”) following a review of the settlement, clearing and corporate action rules.

#### **Rule amendments**

2. The London Stock Exchange (“the Exchange”) received a number of comments regarding the consultation and, following consideration of these comments, a few additional minor amendments to rules guidance have been made. These additional amendments are set out in marked up form in Attachment 1 to this Notice.

#### **Effective date of rule changes**

3. The confirmed rule amendments are detailed in Attachment 2 to this Notice and will become effective from 1 February 2012. An updated version of the rules will be available from this date on the Exchange’s website at:

<http://www.londonstockexchange.com/traders-and-brokers/rules-regulations/rules-regulations.htm>

4. Any comments or queries on this Notice should be addressed to Dagmar Banton, telephone +44 (0)20 7797 1210 (STX 31210) or email [dbanton@londonstockexchange.com](mailto:dbanton@londonstockexchange.com)

Denzil Jenkins  
Head of Compliance & Regulation

This Stock Exchange Notice will be available on the website at  
<http://www.londonstockexchange.com/en-gb/products/membershiptrading/rulesreg/stockexnoticesnew/>

Calls to London Stock Exchange plc may be recorded to enable the Exchange to carry out its regulatory responsibilities