



Euroclear UK & Ireland



London Stock Exchange



CCP for Equities Static Data Form

Who should complete this form?

- London Stock Exchange (the Exchange) members joining the CCP for Equities service;
- Exchange members wishing to participate in cross BIC netting;
- One form must be completed for each Member Firm ID code being used for trading CCP securities; and
- Incorrect data may result in pending trades, please ensure all information is correct before submitting the completed form.

Exchange Member Details

Please contact the Exchange's Client Implementation Team on +44 (0)20 7797 3232 for assistance

Company name:

Address of Head Office
(or business address)

Please enter your
CCP trading Member Firm ID:

<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
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Exchange members can participate in the CCP for Equities service as a Clearing Member of x-Clear or as a non-clearing member (NCM). If participating as a Clearing Member of x-Clear, they can either clear only their own business (ICM) or additionally clear the business of other member firms (GCM). Please tick the appropriate box.

Note

- CCP trading Member Firm ID refers to Exchange Member ID and no other ID

NCM

GCM

ICM

If applying as an NCM, will settlement services be provided on a Model B basis? Y/N

No

If yes, please enter the name of the Model B provider

Cross BIC Netting

Please contact Euroclear's Membership Team (Check name of team) on +44 (0)20 7849 0997 for assistance

In some circumstances, transactions across more than one CCP trading Member Firm ID may be netted together within a single Member Firm ID for clearing and settlement netting purposes. This is known as cross BIC netting.

Do you intend participating in cross BIC netting? Y/N

Settlement Firm ID

If you are not participating in cross BIC netting, your Settlement Firm ID will be the CCP trading Member Firm ID entered above.

If you are participating in cross BIC netting, you must enter your Settlement Firm ID in the box below. Your Settlement Firm ID will be the Member Firm ID of the Exchange member (or their settlement agent) settling with x-Clear. If a member firm uses several Member Firm ID's it should be the Member Firm ID under which the majority of trades are executed.

Clearing and Settlement Arrangements

Please complete your clearing arrangements in the table below:

Settlement Firm ID (Enter Settlement Firm ID below)										
Dealing Capacity (A or P)	House or Client Account	Default Account (House or Client)	CREST System Participant ID	CREST Member Account ID (default)	Clearing Member ID in CREST	Clearing Member ID at x-Clear (GCM-NCM) *	Clearing Member ID at x-Clear (-NCM)*			

Note

- The Dealing Capacity can be Principal ("P") or Agency ("A").
- The Clearing Member ID consists of your, or your GCM's, x-Clear mnemonic and must end with either C (client) or H (house), e.g. XABCH.
- ICMs and GCMs who deal in both an agency and principal capacity must use a separate GCM to clear their agency business.
- * Please refer to GCM or x-Clear for these codes, the Exchange or CREST cannot assist with them.

Contacts

Please provide a settlement contact (this may be a contact at your settlement agent), a compliance contact and a corporate actions contact.

	Name	Position	Phone Number
Settlement			
Compliance			
Corporate Actions			

Further documentation required to be submitted to the Exchange, CREST and x-Clear

- All participants must ensure that an up to date list of persons authorised to delete orders is registered with the Exchange (available from <http://www.londonstockexchange.com/en-gb/products/membershiptrading/rulesreg/regformsagreements.htm>)
- All settlement participants must complete and send to CREST a Default Member Account form (available from www.crestco.co.uk).

Declaration

Confirmation by the Non Clearing Member.

We declare that the information is complete and correct and we undertake to notify either CRESTCo, x-Clear or the Exchange immediately of any changes in the information provided in this form that occur after the date of submission of the form.

For and on behalf (Name of firm)	
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Signature	
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Name	
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Position	
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Date	
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Please note that NCM applications must be countersigned by the General Clearing Member (GCM).

Confirmation by the General Clearing Member.

IMPORTANT NOTE TO GENERAL CLEARING MEMBERS

General Clearing Members have a responsibility to ensure that the Static Data provided in this form by the Non Clearing Members, whose business they are clearing, is accurate and reflects the relationships that the General Clearing Member has with x-Clear.

For and on behalf (Name of GCM)	
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Signature	
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Name	
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Position	
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Date	
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FIRMS ARE ADVISED THAT THE ABOVE STATIC DATA IS VALIDATED BY THE EXCHANGE AT THE POINT OF ORDER SUBMISSION. IN THE EVENT THAT YOU SUBMIT AN ORDER AND RECEIVE A MESSAGE ADVISING THAT THE ORDER HAS BEEN REJECTED, DUE TO THE INCORRECT SET UP OF STATIC DATA IN YOUR SYSTEMS, THE EXCHANGE IS UNABLE TO MAKE AN INTRA DAY CHANGE TO RESOLVE THIS ERROR. YOU WILL NEED TO RESOLVE THE ISSUES WITH THE STATIC DATA ON YOUR SYSTEMS BEFORE YOU WILL BE ABLE TO TRADE.