

Order book for Retail Bonds Static Data Form

This form should be completed by London Stock Exchange (“the Exchange”) member firms to establish settlement arrangements for each Trader Group to be used for trading on the Order book for Retail Bonds.

London Stock Exchange Member Details

Member Firm name (Legal Entity):

Member Firm ID:

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Head Office Address:

NB – At least one Trader Group ID must be completed. Trader Group IDs must be either 8 or 11 characters.

Trader Group ID:

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Trader Group ID:

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Trader Group ID:

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Trader Group ID:

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Settlement Arrangements

Settlement Provider:

Central Securities Depository (CSD) Name	CREST System Participant ID *	CREST Member Account ID	Dealing Capacity (P or A)
CREST			
CREST			

Declaration

Confirmation by the Trading Member:

We declare that the information is complete and correct and we undertake to notify the Exchange immediately of any changes in the information provided in this form that occur after the date of submission of the form.

FIRMS ARE ADVISED THAT THE ABOVE STATIC DATA IS VALIDATED BY THE EXCHANGE AT THE POINT OF ORDER SUBMISSION. IN THE EVENT THAT YOU SUBMIT AN ORDER AND RECEIVE A MESSAGE ADVISING THAT THE ORDER HAS BEEN REJECTED, DUE TO THE INCORRECT SET UP OF STATIC DATA IN YOUR SYSTEMS, THE EXCHANGE IS UNABLE TO MAKE AN INTRA DAY CHANGE TO RESOLVE THIS ERROR. YOU WILL BE REQUIRED TO RESOLVE THE ISSUES WITH THE STATIC DATA ON YOUR SYSTEMS BEFORE YOU WILL BE ABLE TO TRADE.

For and on behalf (Name of Member Firm)	
Signature	
Name	
Position	
Date	

NOTE: FIRMS SHOULD COMPLETE THIS STATIC DATA FORM IN CONJUNCTION WITH THEIR SETTLEMENT PROVIDER.

A SETTLEMENT ADDENDUM SIGNED BY BOTH THE MEMBER FIRM AND SETTLEMENT PROVIDER WILL NEED TO BE IN PLACE AS PART OF THE APPROVAL PROCESS. THE SETTLEMENT ADDENDUM SHOULD REFERENCE THE PARTICIPANT ACCOUNT NUMBER DETAILED ON PAGE ONE OF THIS STATIC DATA FORM.

Appendix A – Firms with more than five Trader Groups

Please complete additional Trader Groups below:

Trader Group ID:

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