

## UK Bond Order Book Static Data Form - Guidance Notes

### Completing a Static Data Form:

- This form should be completed by London Stock Exchange (the Exchange) member firms to establish or make changes to settlement arrangements for each Trader Group used for trading on the UK Bond Order Book.
- A static data form must be submitted where:
  - A member firm wishes to trade on-book in the UK Bond Order Book market for the first time
  - A member firm wishes to make changes to its existing static data for the UK Bond Order Book

### London Stock Exchange Member Details

Company Name	<ul style="list-style-type: none"> <li>• This is the legal entity that is a member of the Exchange.</li> </ul>
Member Firm ID	<ul style="list-style-type: none"> <li>• Firm's identifying code – e.g. ABCDESE21</li> <li>• This code <b>may</b> be the same as a firm's SWIFT BIC code</li> <li>• Current member firm's can check their Member ID on the Member Firm Directory on the London Stock Exchange webpage: <a href="http://www.londonstockexchange.com/exchange/traders-and-brokers/membership/member-firm-directory/member-firm-directory-search.html">http://www.londonstockexchange.com/exchange/traders-and-brokers/membership/member-firm-directory/member-firm-directory-search.html</a></li> </ul>
Company SWIFT BIC Code	<ul style="list-style-type: none"> <li>• This is the SWIFT BIC Code appropriate to the entity.</li> <li>• If the legal entity does not have a SWIFT BIC Code, then please contact the Exchange (details on page two).</li> </ul>
Trader Group ID	<ul style="list-style-type: none"> <li>• At least one Trader Group ID must be completed on the form.</li> <li>• Trader Group IDs must be either <b>8 or 11</b> characters</li> <li>• If the member firm has more than five Trader Group IDs, please enter their details on page three of the UK Bond Order Book Static Data Form</li> <li>• If firms are unsure about their trader group(s) they should contact the Exchange (details on page 2)</li> </ul>

<b>Settlement Arrangements</b>	
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Settlement Provider	<ul style="list-style-type: none"> <li>Enter name of firm providing settlement services. Member firms who self-settle should enter their own name.</li> </ul>
Settlement Provider SWIFT BIC Code	<ul style="list-style-type: none"> <li>If a member firm self-settles, enter the SWIFT BIC code appropriate to the member firm (as entered on page one of the static data form).</li> <li>Member firms using a settlement provider should contact their provider for their SWIFT BIC code.</li> </ul>
CREST System Participant ID	<ul style="list-style-type: none"> <li>The participant account within CREST</li> <li>Member Firms using a settlement provider should contact their provider for their CREST System Participant ID</li> </ul>
CREST Member Account ID	<ul style="list-style-type: none"> <li>The sub-account under the CREST System Participant ID</li> <li>Member Firms using a settlement provider should contact their provider for the CREST Member Account ID details</li> </ul>
Dealing Capacity	<ul style="list-style-type: none"> <li>P (Principal, including riskless principal) and/or A (Agency)</li> <li>Firms will only be enabled to trade using the dealing capacities specified on this form; firms that intend to trade in both capacities should fill in the settlement details for both capacities separately</li> </ul>
Direct Input	<ul style="list-style-type: none"> <li>Participants can select whether to settle via Direct Input or manual settlement. Firms must confirm if they have set up Direct Input for the settlement arrangements specified on the form. This must be confirmed by the settlement provider if using a third party.</li> </ul>

<b>Questions/Assistance</b>
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Please contact the following should you have any queries in completing this form:

**London Stock Exchange - Client Implementation Team**

+44 (0) 20 7797 3232 or STX 33232 or email

[Clientimplementation@londonstockexchange.com](mailto:Clientimplementation@londonstockexchange.com)

<p><b>FIRMS SHOULD COMPLETE THE SETTLEMENT INFORMATION ON THE STATIC DATA FORM IN CONJUNCTION WITH THEIR SETTLEMENT PROVIDER.</b></p> <p><b>A SETTLEMENT ADDENDUM SIGNED BY BOTH THE MEMBER FIRM AND SETTLEMENT PROVIDER WILL NEED TO BE IN PLACE AS PART OF THE APPROVAL PROCESS. THE SETTLEMENT ADDENDUM SHOULD REFERENCE THE CREST SYSTEM PARTICIPANT ID DETAILED ON PAGE ONE OF THIS STATIC DATA FORM.</b></p>
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