



Euroclear UK & Ireland



London Stock Exchange



## CCP for Equities Static Data Form

Who should complete this form?

- London Stock Exchange (the Exchange) members joining the EquityClear / CCP for Equities service or Exchange members changing existing details.
- Exchange members wishing to participate in cross BIC netting.
- One form must be completed for each Member ID on the Exchange (CCP Trading Member ID) being used for trading CCP eligible securities.

Exchange Member Details

**Please contact the Exchange's Client Implementation Team on +44 (0)20 7797 3232 for assistance**

Company name:

Address of Head Office  
(or business address)

Please enter your  
CCP trading Member Firm ID:

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Exchange members can participate in the EquityClear / CCP for Equities service as a Clearing Member of the Clearing House or as a Non-Clearing Member (NCM). If participating as a Clearing Member of the Clearing House, they can either clear only their own business (ICM) or additionally clear the business of other Exchange member firms (GCM). Please tick the appropriate box.

**Note**

- CCP trading Member Firm ID refers to Exchange Member ID and no other ID

NCM

GCM

ICM

If applying as an NCM, will settlement services be provided on a Model B basis? Y/N

If yes, please enter the name of the Model B provider

If no, a Clearing House NCM-GCM agreement must also be completed. Please speak to the Clearing House Membership Department or your GCM for further assistance.

Cross BIC Netting

**Please contact the Clearing House's Membership Team on +44 (0)20 7426 7627/7063 if you require assistance**

In some circumstances, EquityClear transactions across more than one CCP Trading Member ID may be netted together within a single CCP Trading Member ID for clearing and settlement netting purposes. This is known as cross BIC netting.

Do you intend participating in cross BIC netting? Y/N

Settlement Firm ID

If you are not participating in cross BIC netting, your Settlement Firm ID will be the CCP Trading Member ID previously entered.

If you are participating in cross BIC netting, you must enter your Settlement Firm ID in the box below. Your Settlement Firm ID will be the CCP Trading Member ID of the Exchange member (or their settlement agent) settling with the Clearing House. If a member firm uses several Trading Member IDs, the Settlement Firm ID should be the CCP Trading Member ID under which the majority of trades are executed.

Clearing and Settlement Arrangements

Please complete your clearing arrangements in the table below:

Settlement Firm ID (Enter Settlement Firm ID below)									

Dealing Capacity (A or P)	House or Client Account	Default Account (House or Client)	CREST System Participant ID	CREST Member Account ID (default)	Clearing Member ID
					Z
					Z
					Z
					Z

Note

- The Dealing Capacity can be Principal (“P”) or Agency (“A”).
- The Clearing Member ID consists of your, or your GCM’s, Clearing House mnemonic and must end with either C (client) or H (house), e.g. ZABCH.
- If you have specified House in the 'House or Client Account' column the Clearing Member ID should consist of Z followed by your Clearing Member mnemonic followed by H for House e.g. ZABCH. If you have specified Client in the 'House or Client Account' column the Clearing Member ID should consist of Z followed by your Clearing Member mnemonic followed by C for Client e.g. ZABCC

ICMs and GCMs who deal in both an agency and principal capacity must use a separate GCM to clear their agency business.

Contacts

Please provide a settlement contact (this may be a contact at your settlement agent), a compliance contact and a corporate actions contact.

	Name	Position	Phone Number
Settlement			
Compliance			
Corporate Actions			

**Further documentation required to be submitted to the Exchange, CREST and the Clearing House**

- All participants must ensure that an up to date list of persons authorised to delete orders is registered with the Exchange (available from <http://www.londonstockexchange.com/en-gb/products/membershiptrading/rulesreg/regformsagreements.htm>)

- All settlement participants must complete and send to CREST a Default Member Account form (available from [www.crestco.co.uk](http://www.crestco.co.uk)).

Declaration

Confirmation by the Non Clearing Member.

We declare that the information is complete and correct and we undertake to notify either CRESTCo, the Clearing House or the Exchange immediately of any changes in the information provided in this form that occur after the date of submission of the form.

**FIRMS ARE ADVISED THAT THE ABOVE STATIC DATA IS VALIDATED BY THE EXCHANGE AT THE POINT OF ORDER SUBMISSION. IN THE EVENT THAT YOU SUBMIT AN ORDER AND RECEIVE A MESSAGE ADVISING THAT THE ORDER HAS BEEN REJECTED, DUE TO THE INCORRECT SET UP OF STATIC DATA IN YOUR SYSTEMS, THE EXCHANGE IS UNABLE TO MAKE AN INTRA DAY CHANGE TO RESOLVE THIS ERROR. YOU WILL NEED TO RESOLVE THE ISSUES WITH THE STATIC DATA ON YOUR SYSTEMS BEFORE YOU WILL BE ABLE TO TRADE**

For and on behalf (Name of firm)	
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Signature	
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Name	
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Position	
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Date	
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**Please note that NCM applications must be countersigned by the General Clearing Member (GCM).**

Confirmation by the General Clearing Member.

**NOTE TO GENERAL CLEARING MEMBERS**

General Clearing Members have a responsibility to ensure that the Static Data provided in this form by the Non Clearing Members, whose business they are clearing, is accurate and reflects the relationships that the General Clearing Member has with the Clearing House.

For and on behalf (Name of GCM/ICM)	
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Signature	
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Name	
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Position	
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Date	
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