

Market

Statistics




# Table 1: Main - UK listed companies: new issues

October 2009


## Equity and Fixed Interest

### New companies

Date	Company/ Security	Issue type/ Business sector	Issue price (pence)	Market value at issue (£m)	Money raised (£m)*	Broker to issue / 
06/10/2009	HANSTEEN HLDGS ORD GBP0.10	Introduction from AIM 8733 - Real Estate Holding & Developmen	0	406.80	0.00	KBC Pell Hunt Ltd
23/10/2009	IMPAX ASIAN ENVIRONMENTAL MKTS PLC ORD GBP0.01 SUBSCRIPTION SHS GBP0.001	Placing 8985 - Equity Investment Instrument	100	109.57	104.50	Collins Stewart & Co

\* Money raised figure in brackets is an of which fixed interest money raised

### Summary

	No. of companies	Market value (£m)	Money raised (£m)	No. of companies	Market value (£m)	Money raised (£m)
	October 2009			Year to date		
<b>New companies</b>	1	109.57	104.50	7	685.65	258.82
of which Fixed Interest			0.00			103.00
<b>Transfer</b>	1	406.80	0.00	4	1,462.69	0.00
of which Fixed Interest			0.00			0.00
<b>Relisting</b>	0	0.00	0.00	4	1,451.05	0.00
of which Fixed Interest			0.00			0.00
<b>Totals</b>	<b>2</b>	<b>516.37</b>	<b>104.50</b>	<b>15</b>	<b>3,599.39</b>	<b>258.82</b>
of which Fixed Interest			0.00			103.00
Total Equity only			104.50			155.82
of which 						
<b>Total</b>	0	0.00	0.00	1	820.00	0.00

# Table 1: Main Market - UK listed companies: further issues

October 2009

## Equity and Fixed Interest

### Rights issues

Date	Company/ Security	Business sector	Offer	Issue price (p)	Money raised (£m)
08/10/2009	BALFOUR BEATTY GBP0.50	2357 - Heavy Construction	3 - 7	180	369.90
20/10/2009	BARRATT DEVELOPMENTS PLC ORD GBP0.10	3728 - Home Construction	1 - 1	100	545.53
30/10/2009	BRAMMER ORD GBP0.20	2797 - Industrial Suppliers	1 - 1	72	38.26
07/10/2009	GALLIFORD TRY ORD GBP0.50	2357 - Heavy Construction	0 - 0	285	125.61
09/10/2009	LADBROKES ORD GBP0.2833333	5752 - Gambling	1 - 2	95	285.63
30/10/2009	LAIRD PLC ORD GBP0.28125	2733 - Electrical Components & Equipment	0 - 0	100	88.77
20/10/2009	REDROW ORD GBP0.10	3728 - Home Construction	13 - 14	105	156.01

Summary	Equity		Fixed Interest		Total money raised (£m)
	No. of issues	Money raised (£m)	No. of issues	Money raised (£m)	
October 2009	7	1,609.71	0	0.00	1,609.71
Year to date	41	34,807.28	0	0.00	34,807.28
of which					
October 2009	0	0.00			
Year to date	0	0.00			

### Further issues

Date	Company/ Security	Issue type/ Business sector	Issue price (p)	Money raised (£m)
20/10/2009	BARRATT DEVELOPMENTS PLC ORD GBP0.10	Placing 3728 - Home Construction	240.0	175.00
12/10/2009	HOCHSCHILD MINING PLC ORD GBP0.25	Placing 1779 - Platinum & Precious Metals	295.0	90.67
05/10/2009	LIBERTY INTERNATIONAL PLC ORD GBP0.50	Placing 8737 - Real Estate Investment Trusts	500.0	280.50
07/10/2009	PRIMARY HEALTH PROPERTIES ORD GBP0.50	Placing & Open Offer 8675 - Speciality REITs	230.0	60.00
12/10/2009	UK COAL ORD GBP0.01	Placing 1771 - Coal	75.0	106.53
06/10/2009	UNITE GROUP ORD GBP0.25	Placing 1771 - Real Estate Holding & Development	250.0	82.05
14	Other issues raising less than £10m			8.28

Summary	Equity		Fixed Interest		Total money raised (£m)
	No. of issues	Money raised (£m)	No. of issues	Money raised (£m)	
October 2009	20	803.03	0	0.00	803.03
Year to date	291	23,070.11	3	125.27	23,195.38
of which					
October 2009	0	0.00			
Year to date	17	259.71			

## Table 2: Main Market - International listed companies


October 2009

### Equity and Fixed Interest


#### New companies

Date	Company/ Security	Issue type/ Business sector	Issue price	Market value at issue (£m)	Money raised (£m)*	Broker to issue/ Country of origin/ extraMARK / techMARK
16/10/2009	<b>TATA MOTORS</b> GDR EACH REPR 1 SHARE(REGS)	Introduction 2753 - Commercial Vehicles & Trucks	0.00	229.06	0.00	Citibank India
05/10/2009	<b>TATUNG CO</b> GDS EACH REPR 20 SHS'REG S'	Introduction 9572 - Computer Hardware	0.00	114.12	0.00	BONY Taiwan
01/10/2009	<b>VIRGIN MEDIA INC</b> COM STK USD0.01	Introduction 6535 - Fixed Line Telecommunications	0.00	2,825.56	0.00	Goldman Sachs USA

\* Money raised figure in brackets is an of which fixed interest money raised

Summary		No. of companies	Market value (£m)	Money raised (£m)	No. of companies	Market value (£m)	Money raised (£m)
		October 2009			Year to date		
New companies	Equity	1	2,825.56	0.00	2	3,087.97	0.00
	Depository Receipts	2	343.19	0.00	10	1,250.44	364.59
	Fixed interest	0	0.00	0.00	0	0.00	0.00
Relisting and Transfer	Equity	0	0.00	0.00	2	1,174.26	0.00
	Depository Receipts	0	0.00	0.00	0	0.00	0.00
	Fixed interest	0	0.00	0.00	0	0.00	0.00
Totals	<b>Equity</b>	<b>1</b>	<b>2,825.56</b>	<b>0.00</b>	<b>4</b>	<b>4,262.23</b>	<b>0.00</b>
	<b>Depository Receipts</b>	<b>2</b>	<b>343.19</b>	<b>0.00</b>	<b>10</b>	<b>1,250.44</b>	<b>364.59</b>
	<b>Fixed interest</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>
	<b>Grand Total</b>	<b>3</b>	<b>3,168.75</b>	<b>0.00</b>	<b>14</b>	<b>5,512.67</b>	<b>364.59</b>
	of which IPO's	0	0.00	0.00	2	417.68	364.59
of which							
Totals	0	0.00	0.00	0	0.00	0.00	

#### Further issues

		No. of rights issues	Money raised (£m)	No. of other issues	Money raised (£m)	Total Further issues	Money raised (£m)
<b>October 2009</b>		0	0.00	4	0.00	4	0.00
<b>Year to date</b>		3	1452.80	24	539.58	27	1992.38
of which							
<b>October 2009</b>		0	0.00	0	0.00	0	0.00
<b>Year to date</b>		0	0.00	1	178.26	1	178.26

## Table 3: New and further issues by method

### Equities

	Main Market Listed		UK		Main Market International		Grand Total	
	No. of co's / issues	Money raised (£m)	No. of co's / issues	Money raised (£m)	No. of co's / issues	Money raised (£m)	No. of co's / issues	Money raised (£m)
<b>October 2009</b>								
<b>New companies</b>								
Public offer	0	0.00	0	0.00	0	0.00	0	0.00
Placing	1	104.50	0	0.00	1	104.50	1	104.50
Placing and public offer	0	0.00	0	0.00	0	0.00	0	0.00
Introduction	1	0.00	3	0.00	4	0.00	4	0.00
<b>Totals</b>	<b>2</b>	<b>104.50</b>	<b>3</b>	<b>0.00</b>	<b>5</b>	<b>104.50</b>	<b>5</b>	<b>104.50</b>
<b>Further issues</b>								
Public offer	4	0.00	0	0.00	4	0.00	4	0.00
Placing	8	743.03	0	0.00	8	743.03	8	743.03
Placing and public offer	1	60.00	0	0.00	1	60.00	1	60.00
Rights issues	7	1,609.71	0	0.00	7	1,609.71	7	1,609.71
Employee shares/options	7	0.00	4	0.00	11	0.00	11	0.00
<b>Totals</b>	<b>27</b>	<b>2,412.74</b>	<b>4</b>	<b>0.00</b>	<b>31</b>	<b>2,412.74</b>	<b>31</b>	<b>2,412.74</b>
<b>Grand totals</b>	<b>29</b>	<b>2,517.24</b>	<b>7</b>	<b>0.00</b>	<b>36</b>	<b>2,517.24</b>	<b>36</b>	<b>2,517.24</b>

### Year to date

#### New companies

Public offer	1	2.69	2	364.59	3	367.29	3	367.29
Placing	1	104.50	0	0.00	1	104.50	1	104.50
Placing and public offer	1	48.63	0	0.00	1	48.63	1	48.63
Introduction	10	0.00	12	0.00	22	0.00	22	0.00
<b>Totals</b>	<b>13</b>	<b>155.82</b>	<b>14</b>	<b>364.59</b>	<b>27</b>	<b>520.42</b>	<b>27</b>	<b>520.42</b>

#### Further issues

Public offer	152	327.93	0	0.00	152	327.93	152	327.93
Placing	63	5,187.42	8	321.00	71	5,508.42	71	5,508.42
Placing and public offer	23	17,554.76	2	218.58	25	17,773.34	25	17,773.34
Rights issues	41	34,807.28	3	1,452.80	44	36,260.09	44	36,260.09
Employee shares/options	53	0.00	14	0.00	67	0.00	67	0.00
<b>Totals</b>	<b>332</b>	<b>57,877.39</b>	<b>27</b>	<b>1,992.38</b>	<b>359</b>	<b>59,869.78</b>	<b>359</b>	<b>59,869.78</b>
<b>Grand totals</b>	<b>345</b>	<b>58,033.21</b>	<b>41</b>	<b>2,356.98</b>	<b>386</b>	<b>60,390.19</b>	<b>386</b>	<b>60,390.19</b>

## Fixed interest & Covered warrants

### New and further issues

#### October 2009

Convertibles	0	0.00	0	0.00	0	0.00	0	0.00
Debentures and loans	0	0.00	0	0.00	0	0.00	0	0.00
Preference	0	0.00	0	0.00	0	0.00	0	0.00
<b>Total company</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>
Eurobonds	162	15,933.54	121	14,398.76	283	30,332.31	283	30,332.31
<b>Covered warrants</b>								
Covered warrant market	211		103		314		314	
Covered warrant other	0	0.00	0	0.00	0	0.00	0	0.00
<b>Grand totals</b>	<b>373</b>	<b>15,933.54</b>	<b>224</b>	<b>14,398.76</b>	<b>597</b>	<b>30,332.31</b>	<b>597</b>	<b>30,332.31</b>

#### Year to date

Convertibles	1	80.00	0	0.00	1	80.00	1	80.00
Debentures and loans	0	0.00	0	0.00	0	0.00	0	0.00
Preference	4	148.27	0	0.00	4	148.27	4	148.27
<b>Total company</b>	<b>5</b>	<b>228.27</b>	<b>0</b>	<b>0.00</b>	<b>5</b>	<b>228.27</b>	<b>5</b>	<b>228.27</b>
Eurobonds	1,484	209,134.48	981	174,172.16	2,465	383,306.64	2,465	383,306.64
<b>Covered warrants</b>								
Covered warrant market	630		891		1,521		1,521	
Covered warrant other	8	0.00	2	0.00	10	0.00	10	0.00
<b>Grand totals</b>	<b>2,127</b>	<b>209,362.75</b>	<b>1,874</b>	<b>174,172.16</b>	<b>4,001</b>	<b>383,534.91</b>	<b>4,001</b>	<b>383,534.91</b>

# Table 4: New public sector issues

October 2009

## British Government issues

Date	Stock	Type of issue	Price	Nominal value (£m)	Money raised (£m)
<b>October 2009</b>					
<b>New Issues</b>					
01/10/2009	Treasury 4.75% Gilt 07/12/30 Gbp	Issue By Auction	109.67%	2,474.8	2,714.2
06/10/2009	Treasury 4.5% Gilt 07/03/13 Gbp0.01	Issue By Auction	107.95%	5,389.7	5,818.2
14/10/2009	Treasury 4.75% Gilt 2020	Issue By Auction	110.26%	3,500.0	3,859.1
22/10/2009	Treasury 5% Gilt 2014	Issue By Auction	110.00%	4,880.9	5,368.9
07/10/2009	Treasury 0.625% Idx-Lkd Gilt 22/11/42		104.50%	818.3	855.1
<b>Total</b>		<b>5</b>		<b>17,063.7</b>	<b>18,615.5</b>
<b>Redemptions</b>					
<b>Totals</b>		<b>0</b>		<b>0.0</b>	
Net figure (issues less redemptions)				<b>17,063.7</b>	

## Year to date

Type of issue	No. of issues	Nominal value (£m)	Money raised (£m)
Shorts (0 - 7 yrs)	17	76,393.8	78,700.7
Mediums (7 - 15 yrs)	17	59,463.2	61,583.6
Longs (over 15 yrs)	13	28,663.3	27,797.3
Index linked	29	18,746.2	19,329.9
Variable rate	0	0.0	0.0
Foreign currency bonds	0	0.0	0.0
<b>Totals</b>	<b>76</b>	<b>183,266.5</b>	<b>187,411.5</b>
<b>Redemptions</b>			
<b>Totals</b>	<b>0</b>	<b>0.0</b>	
Net figure (issues less redemptions)		<b>183,266.5</b>	

## Other public sector

	No. of issues	Nominal value (£m)	Money raised (£m)
<b>October 2009</b>			
UK Local authority	0	0.0	0.0
Overseas Public sector (foreign currency)	3	756.1	939.6
Sterling denominated bonds	0	0.0	0.0
<b>Totals</b>	<b>3</b>	<b>756.1</b>	<b>939.6</b>
<b>Year to date</b>			
UK Local authority	1	4.3	4.7
Overseas Public sector (foreign currency)	61	18,309.9	23,324.0
Sterling denominated bonds	1	250.0	246.7
<b>Totals</b>	<b>63</b>	<b>18,564.2</b>	<b>23,575.4</b>

# Table 5: Money raised by sector

October 2009

## Main Market - UK

Group	Business sector	New Issues		Rights issues		Other issues	
		No. of companies	Money raised (£m)	No. of issues	Money raised (£m)	No. of issues	Money raised (£m)
	<b>Equities</b>						
0530	Oil & Gas Producers	-	-	-	-	-	-
0570	Oil Equipment, Services & Distribution	-	-	-	-	1.00	-
0580	Alternative Energy	-	-	-	-	-	-
0001	<b>Total Oil &amp; Gas</b>	-	-	-	-	<b>1.00</b>	-
1350	Chemicals	-	-	-	-	-	-
1730	Forestry & Paper	-	-	-	-	-	-
1750	Industrial Metals	-	-	-	-	-	-
1770	Mining	-	-	-	-	3.00	197.20
1000	<b>Total Basic Materials</b>	-	-	-	-	<b>3.00</b>	<b>197.20</b>
2350	Construction & Materials	-	-	2.00	495.51	-	-
2710	Aerospace & Defense	-	-	-	-	-	-
2720	General Industrials	-	-	-	-	-	-
2730	Electronic & Electrical Equipment	-	-	1.00	88.77	-	-
2750	Industrial Engineering	-	-	-	-	-	-
2770	Industrial Transportation	-	-	-	-	-	-
2790	Support Services	-	-	1.00	38.26	1.00	-
2000	<b>Total Industrials</b>	-	-	<b>4.00</b>	<b>622.54</b>	<b>1.00</b>	-
3350	Automobiles & Parts	-	-	-	-	-	-
3530	Beverages	-	-	-	-	-	-
3570	Food Producers	-	-	-	-	-	-
3720	Household Goods	-	-	2.00	701.54	1.00	175.00
3740	Leisure Goods	-	-	-	-	-	-
3760	Personal Goods	-	-	-	-	-	-
3780	Tobacco	-	-	-	-	-	-
3000	<b>Consumer Goods</b>	-	-	<b>2.00</b>	<b>701.54</b>	<b>1.00</b>	<b>175.00</b>
4530	Health Care Equipment & Services	-	-	-	-	-	-
4570	Pharmaceuticals & Biotechnology	-	-	-	-	-	-
4000	<b>Total Health Care</b>	-	-	-	-	-	-
5330	Food & Drug Retailers	-	-	-	-	-	-
5370	General Retailers	-	-	-	-	1.00	1.76
5550	Media	-	-	-	-	-	-
5750	Travel & Leisure	-	-	1.00	285.63	-	-
5000	<b>Total Consumer Services</b>	-	-	<b>1.00</b>	<b>285.63</b>	<b>1.00</b>	<b>1.76</b>
6530	Fixed Line Telecommunications	-	-	-	-	-	-
6570	Mobile Telecommunications	-	-	-	-	-	-
6000	<b>Total Telecommunications</b>	-	-	-	-	-	-
7530	Electricity	-	-	-	-	-	-
7570	Gas, Water & Multiutilities	-	-	-	-	-	-
7000	<b>Total Utilities</b>	-	-	-	-	-	-
8350	Banks	-	-	-	-	-	-
8530	Nonlife Insurance	-	-	-	-	-	-
8570	Life Insurance	-	-	-	-	-	-
8630	Real Estate Investment & Services	-	-	-	-	2.00	82.05
8670	Real Estate Investment Trusts	-	-	-	-	1.00	60.00
8730	Real Estate	-	-	-	-	1.00	280.50
8770	General Financial	-	-	-	-	1.00	-
8980	Equity Investment Instruments	1.00	104.50	-	-	8.00	6.52
8990	Nonequity Investment Instruments	-	-	-	-	-	-
8000	<b>Total Financials</b>	<b>1.00</b>	<b>104.50</b>	-	-	<b>13.00</b>	<b>429.07</b>
9530	Software & Computer Services	-	-	-	-	-	-
9570	Technology Hardware & Equipment	-	-	-	-	-	-
9000	<b>Total Technology</b>	-	-	-	-	-	-
	<b>Total Equities</b>	<b>1.00</b>	<b>104.50</b>	<b>7.00</b>	<b>1,609.71</b>	<b>20.00</b>	<b>803.03</b>
	<b>Company Fixed Interest</b>						
	Debentures and Loans	-	-	-	-	-	-
	Convertibles	-	-	-	-	-	-
	Preference	-	-	-	-	-	-
	<b>Total Company Fixed Interest</b>	-	-	-	-	-	-

# Table 5: Money raised by sector

Year to date

## Main Market - UK

New Issues		Rights issues		Other issues		Grand totals		Business sector
No. of companies	Money raised (£m)	No. of issues	Money raised (£m)	No. of issues	Money raised (£m)	No. of issues	Money raised (£m)	
-	-	1	171.09	9	912.25	10	1,083.34	<b>Equities</b>
-	-	-	-	3	-	3	-	Oil & Gas Producers
-	-	-	-	-	-	-	-	Oil Equipment, Services & Distribution
-	-	-	-	-	-	-	-	Alternative Energy
-	-	<b>1</b>	<b>171.09</b>	<b>12</b>	<b>912.25</b>	<b>13</b>	<b>1,083.34</b>	<b>Total Oil &amp; Gas</b>
-	-	-	-	1	-	1	-	Chemicals
-	-	-	-	-	-	-	-	Forestry & Paper
-	-	-	-	-	-	-	-	Industrial Metals
-	-	3	11,764.31	5	197.20	8	11,961.51	Mining
-	-	<b>3</b>	<b>11,764.31</b>	<b>6</b>	<b>197.20</b>	<b>9</b>	<b>11,961.51</b>	<b>Total Basic Industries</b>
-	-	3	532.09	3	45.27	6	577.36	Construction & Materials
-	-	-	-	-	-	-	-	Aerospace & Defense
-	-	2	405.43	2	18.90	4	424.33	General Industrials
-	-	1	88.77	-	-	1	88.77	Electronic & Electrical Equipment
-	-	-	-	1	10.00	1	10.00	Industrial Engineering
-	-	-	-	-	-	-	-	Industrial Transportation
-	-	6	1,393.64	7	398.29	13	1,791.93	Support Services
-	-	<b>12</b>	<b>2,419.93</b>	<b>13</b>	<b>472.46</b>	<b>25</b>	<b>2,892.38</b>	<b>Total Industrials</b>
-	-	1	423.31	1	2.41	2	425.72	Automobiles & Parts
-	-	-	-	-	-	-	-	Beverages
-	-	-	-	1	403.89	1	403.89	Food Producers
-	-	2	701.54	4	803.30	6	1,504.84	Household Goods
-	-	-	-	2	0.44	2	0.44	Leisure Goods
-	-	-	-	1	87.31	1	87.31	Personal Goods
-	-	-	-	-	-	-	-	Tobacco
-	-	<b>3</b>	<b>1,124.85</b>	<b>9</b>	<b>1,297.36</b>	<b>12</b>	<b>2,422.21</b>	<b>Consumer Goods</b>
-	-	-	-	-	-	-	-	Health Care Equipment & Services
-	-	-	-	4	25.92	4	25.92	Pharmaceuticals & Biotechnology
-	-	-	-	<b>4</b>	<b>25.92</b>	<b>4</b>	<b>25.92</b>	<b>Total Health Care</b>
-	-	-	-	1	242.15	1	242.15	Food & Drug Retailers
-	-	2	459.18	9	611.25	11	1,070.43	General Retailers
-	-	2	396.55	4	611.25	6	1,007.81	Media
-	-	5	1,078.53	4	225.00	9	1,303.53	Travel & Leisure
-	-	<b>9</b>	<b>1,934.26</b>	<b>18</b>	<b>1,689.66</b>	<b>27</b>	<b>3,623.91</b>	<b>Total Consumer Services</b>
-	-	-	-	-	-	-	-	Fixed Line Telecommunications
-	-	-	-	-	-	-	-	Mobile Telecommunications
-	-	-	-	-	-	-	-	<b>Total Telecommunications</b>
-	-	-	-	2	586.98	2	586.98	Electricity
-	-	-	-	2	-	2	-	Gas, Water & Multiutilities
-	-	-	-	<b>4</b>	<b>586.98</b>	<b>4</b>	<b>586.98</b>	<b>Total Utilities</b>
-	-	1	12,853.01	5	15,413.55	6	28,266.56	Banks
-	-	1	142.41	4	171.42	5	313.82	Nonlife Insurance
-	-	-	-	1	-	1	-	Life Insurance
-	-	1	55.76	2	82.05	3	137.81	Real Estate Investment & Services
-	-	-	-	1	60.00	1	60.00	Real Estate Investment Trusts
-	-	7	3,105.17	14	1,538.11	21	4,643.28	Real Estate
-	-	2	1,097.44	17	182.81	19	1,280.25	General Financial
3	155.82	1	139.07	171	308.75	175	603.64	Equity Investment Instruments
-	-	-	-	-	-	-	-	Nonequity Investment Instruments
<b>3</b>	<b>155.82</b>	<b>13</b>	<b>17,392.85</b>	<b>215</b>	<b>17,756.69</b>	<b>231</b>	<b>35,305.36</b>	<b>Total Financials</b>
-	-	-	-	9	227.79	9	227.79	Software & Computer Services
-	-	-	-	1	3.14	1	3.14	Technology Hardware & Equipment
-	-	-	-	<b>10</b>	<b>230.93</b>	<b>10</b>	<b>230.93</b>	<b>Total Technology</b>
<b>3</b>	<b>155.82</b>	<b>41</b>	<b>34,807.28</b>	<b>291</b>	<b>23,169.46</b>	<b>335</b>	<b>58,132.56</b>	<b>Total Equities</b>
-	-	-	-	-	-	-	-	<b>Company Fixed Interest</b>
-	-	-	-	-	-	-	-	Debentures and Loans
-	-	-	-	1	80.00	1	80.00	Convertibles
2	103.00	-	-	2	45.27	4	148.27	Preference
<b>2</b>	<b>103.00</b>	-	-	<b>3</b>	<b>125.27</b>	<b>5</b>	<b>228.27</b>	<b>Total Company Fixed Interest</b>

# Table 5a: New Issues by business sector

October 2009

## Main Market - UK Listed

Group	Business sector	New Companies			Transfers			Re-Admissions		
		Introduction No. of co's	Money Raising No. of co's (£m)	IPO's	Introduction No. of co's	Money Raising No. of co's (£m)		Introduction No. of co's	Money Raising No. of co's (£m)	
	<b>Equities</b>									
0530	Oil & Gas Producers	-	-	-	-	-	-	-	-	-
0570	Oil Equipment, Services & Distribution	-	-	-	-	-	-	-	-	-
0580	Alternative Energy	-	-	-	-	-	-	-	-	-
0001	<b>Total Oil &amp; Gas</b>	-	-	-	-	-	-	-	-	-
1350	Chemicals	-	-	-	-	-	-	-	-	-
1730	Forestry & Paper	-	-	-	-	-	-	-	-	-
1750	Industrial Metals	-	-	-	-	-	-	-	-	-
1770	Mining	-	-	-	-	-	-	-	-	-
1000	<b>Total Basic Industries</b>	-	-	-	-	-	-	-	-	-
2350	Construction & Materials	-	-	-	-	-	-	-	-	-
2710	Aerospace & Defense	-	-	-	-	-	-	-	-	-
2720	General Industrials	-	-	-	-	-	-	-	-	-
2730	Electronic & Electrical Equipment	-	-	-	-	-	-	-	-	-
2750	Industrial Engineering	-	-	-	-	-	-	-	-	-
2770	Industrial Transportation	-	-	-	-	-	-	-	-	-
2790	Support Services	-	-	-	-	-	-	-	-	-
2000	<b>Total Industrials</b>	-	-	-	-	-	-	-	-	-
3350	Automobiles & Parts	-	-	-	-	-	-	-	-	-
3530	Beverages	-	-	-	-	-	-	-	-	-
3570	Food Producers	-	-	-	-	-	-	-	-	-
3720	Household Goods	-	-	-	-	-	-	-	-	-
3740	Leisure Goods	-	-	-	-	-	-	-	-	-
3760	Personal Goods	-	-	-	-	-	-	-	-	-
3780	Tobacco	-	-	-	-	-	-	-	-	-
3000	<b>Total Consumer Goods</b>	-	-	-	-	-	-	-	-	-
4530	Health Care Equipment & Services	-	-	-	-	-	-	-	-	-
4570	Pharmaceuticals & Biotechnology	-	-	-	-	-	-	-	-	-
4000	<b>Total Health Care</b>	-	-	-	-	-	-	-	-	-
5330	Food & Drug Retailers	-	-	-	-	-	-	-	-	-
5370	General Retailers	-	-	-	-	-	-	-	-	-
5550	Media	-	-	-	-	-	-	-	-	-
5750	Travel & Leisure	-	-	-	-	-	-	-	-	-
5000	<b>Total Consumer Services</b>	-	-	-	-	-	-	-	-	-
6530	Fixed Line Telecommunications	-	-	-	-	-	-	-	-	-
6570	Mobile Telecommunications	-	-	-	-	-	-	-	-	-
6000	<b>Total Telecommunications</b>	-	-	-	-	-	-	-	-	-
7530	Electricity	-	-	-	-	-	-	-	-	-
7570	Gas, Water & Multiutilities	-	-	-	-	-	-	-	-	-
7000	<b>Total Utilities</b>	-	-	-	-	-	-	-	-	-
8350	Banks	-	-	-	-	-	-	-	-	-
8530	Nonlife Insurance	-	-	-	-	-	-	-	-	-
8570	Life Insurance	-	-	-	-	-	-	-	-	-
8630	Real Estate Investment & Services	-	-	-	-	-	-	-	-	-
8670	Real Estate Investment Trusts	-	-	-	-	-	-	-	-	-
8730	Real Estate	-	-	-	1	-	-	-	-	-
8770	General Financial	-	-	-	-	-	-	-	-	-
8980	Equity Investment Instruments	-	1	104.50	-	-	-	-	-	-
8990	Nonequity Investment Instruments	-	-	-	-	-	-	-	-	-
8000	<b>Total Financials</b>	-	1	104.50	1	-	-	-	-	-
9530	Software & Computer Services	-	-	-	-	-	-	-	-	-
9570	Technology Hardware & Equipment	-	-	-	-	-	-	-	-	-
9000	<b>Total Technology</b>	-	-	-	-	-	-	-	-	-
	<b>Total Equities</b>	-	1	104.50	1	-	-	-	-	-
	<b>Company Fixed Interest</b>									
	Debentures and Loans	-	-	-	-	-	-	-	-	-
	Convertibles	-	-	-	-	-	-	-	-	-
	Preference	-	-	-	-	-	-	-	-	-
	<b>Total Company Fixed Interest</b>	-	-	-	-	-	-	-	-	-

# Table 5a: New Issues by business sector

Year to date

## Main Market - UK Listed

Group	Business sector	New Companies			Transfers			Re-Admissions		
		Introduction No. of co's	Money Raising No. of co's (£m)	IPO's	Introduction No. of co's	Money Raising No. of co's (£m)		Introduction No. of co's	Money Raising No. of co's (£m)	
	<b>Equities</b>									
0530	Oil & Gas Producers	-	-	-	-	-	-	-	-	-
0570	Oil Equipment, Services & Distribution	-	-	-	-	-	-	-	-	-
0580	Alternative Energy	-	-	-	-	-	-	-	-	-
0001	<b>Total Oil &amp; Gas</b>	-	-	-	-	-	-	-	-	-
1350	Chemicals	-	-	-	-	-	-	-	-	-
1730	Forestry & Paper	-	-	-	-	-	-	-	-	-
1750	Industrial Metals	-	-	-	-	-	-	-	-	-
1770	Mining	-	-	-	1	-	-	-	-	-
1000	<b>Total Basic Industries</b>	-	-	-	1	-	-	-	-	-
2350	Construction & Materials	-	-	-	-	-	-	-	-	-
2710	Aerospace & Defense	-	-	-	-	-	-	-	-	-
2720	General Industrials	-	-	-	-	-	-	-	-	-
2730	Electronic & Electrical Equipment	-	-	-	-	-	-	-	-	-
2750	Industrial Engineering	-	-	-	-	-	-	-	-	-
2770	Industrial Transportation	-	-	-	-	-	-	-	-	-
2790	Support Services	-	-	-	-	-	-	-	-	-
2000	<b>Total Industrials</b>	-	-	-	-	-	-	-	-	-
3350	Automobiles & Parts	-	-	-	-	-	-	-	-	-
3530	Beverages	-	-	-	-	-	-	-	-	-
3570	Food Producers	-	-	-	-	-	-	-	-	-
3720	Household Goods	-	-	-	-	-	-	-	-	-
3740	Leisure Goods	-	-	-	-	-	-	-	-	-
3760	Personal Goods	-	-	-	-	-	-	-	-	-
3780	Tobacco	-	-	-	-	-	-	-	-	-
3000	<b>Total Consumer Goods</b>	-	-	-	-	-	-	-	-	-
4530	Health Care Equipment & Services	-	-	-	-	-	-	-	-	-
4570	Pharmaceuticals & Biotechnology	-	-	-	-	-	-	-	-	-
4000	<b>Total Health Care</b>	-	-	-	-	-	-	-	-	-
5330	Food & Drug Retailers	1	-	-	-	-	-	-	-	-
5370	General Retailers	-	-	-	-	-	-	-	-	-
5550	Media	-	-	-	-	-	-	-	-	-
5750	Travel & Leisure	-	-	-	-	-	-	-	-	-
5000	<b>Total Consumer Services</b>	1	-	-	-	-	-	-	-	-
6530	Fixed Line Telecommunications	-	-	-	-	-	-	-	-	-
6570	Mobile Telecommunications	-	-	-	-	-	-	-	-	-
6000	<b>Total Telecommunications</b>	-	-	-	-	-	-	-	-	-
7530	Electricity	-	-	-	-	-	-	-	-	-
7570	Gas, Water & Multiutilities	-	-	-	-	-	-	-	-	-
7000	<b>Total Utilities</b>	-	-	-	-	-	-	-	-	-
8350	Banks	-	-	-	-	-	-	-	-	-
8530	Nonlife Insurance	-	-	-	-	-	-	-	-	-
8570	Life Insurance	-	-	-	-	-	-	-	-	-
8630	Real Estate Investment & Services	-	-	-	-	-	-	-	-	-
8670	Real Estate Investment Trusts	-	-	-	-	-	-	-	-	-
8730	Real Estate	1	-	-	1	-	-	-	-	-
8770	General Financial	-	-	-	1	-	-	-	-	-
8980	Equity Investment Instruments	-	3	155.82	1	-	-	-	-	-
8990	Nonequity Investment Instruments	-	-	-	-	-	-	-	-	-
8000	<b>Total Financials</b>	1	3	155.82	3	-	-	-	-	-
9530	Software & Computer Services	-	-	-	-	-	-	1	-	-
9570	Technology Hardware & Equipment	-	-	-	-	-	-	1	-	-
9000	<b>Total Technology</b>	-	-	-	-	-	-	2	-	-
	<b>Total Equities</b>	2	3	155.82	4	-	-	2	-	-
	<b>Company Fixed Interest</b>									
	Debentures and Loans	-	-	-	-	-	-	-	-	-
	Convertibles	-	-	-	-	-	-	-	-	-
	Preference	-	2	103.00	-	-	-	-	-	-
	<b>Total Company Fixed Interest</b>	-	2	103.00	-	-	-	-	-	-

# Table 6: Distribution of issues by equity money raised

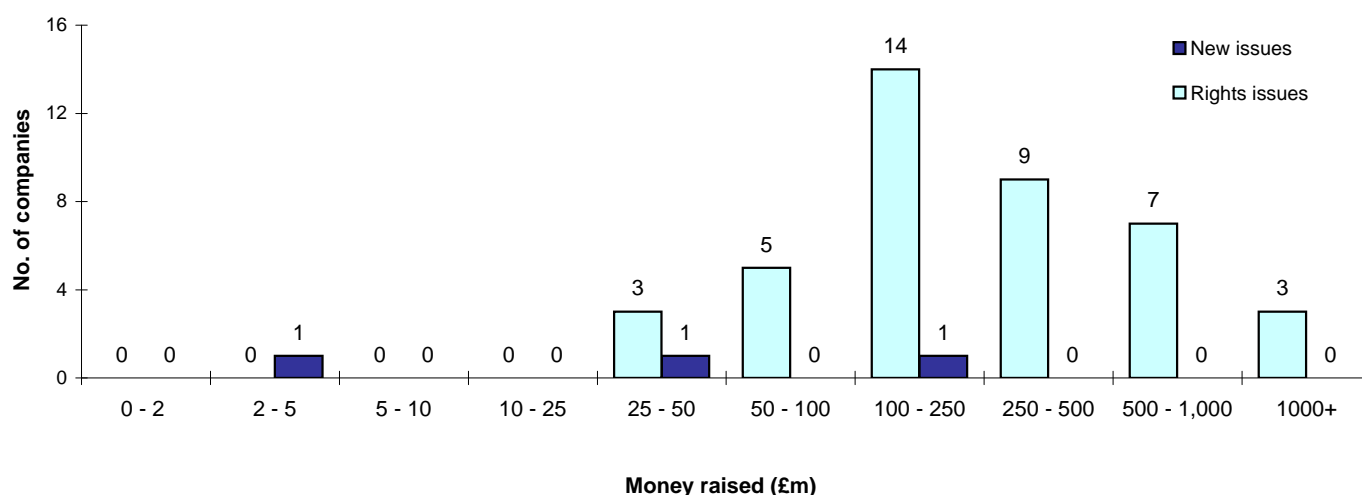
## Main Market - UK Listed

Money raised range (£m)	Total		of which					
			New issues		Rights issues		Other issues	
	No. of issues	Money raised (£m)	No. of companies	Money raised at issue (£m)	No. of issues	Money raised (£m)	No. of issues	Money raised (£m)
October 2009								
1000+	0	0.00	0	0.00	0	0.00	0	0.00
500 - 1,000	1	545.53	0	0.00	1	545.53	0	0.00
250 - 500	3	936.03	0	0.00	2	655.53	1	280.50
100 - 250	5	667.65	1	104.50	2	281.62	2	281.53
50 - 100	4	321.49	0	0.00	1	88.77	3	232.72
25 - 50	1	38.26	0	0.00	1	38.26	0	0.00
10 - 25	0	0.00	0	0.00	0	0.00	0	0.00
5 - 10	1	6.17	0	0.00	0	0.00	1	6.17
2 - 5	0	0.00	0	0.00	0	0.00	0	0.00
0 - 2	13	2.11	0	0.00	0	0.00	13	2.11
<b>Totals</b>	<b>28</b>	<b>2,517.24</b>	<b>1</b>	<b>104.50</b>	<b>7</b>	<b>1,609.71</b>	<b>20</b>	<b>803.03</b>
More than £50m	13	2,470.70	1	104.50	6	1,571.44	6	794.75
Less than £50m	15	46.54	0	0.00	1	38.26	14	8.28
Less than £25m	14	8.28	0	0.00	0	0.00	14	8.28

## Year to date

1000+	7	39,190.51	0	0.00	3	24,301.67	4	14,888.83
500 - 1,000	10	6,420.17	0	0.00	7	4,742.67	3	1,677.50
250 - 500	15	5,297.58	0	0.00	9	2,949.22	6	2,348.36
100 - 250	28	4,577.95	1	104.50	14	2,318.17	13	2,155.28
50 - 100	18	1,400.30	0	0.00	5	387.49	13	1,012.80
25 - 50	19	746.21	1	48.63	3	108.06	15	589.52
10 - 25	12	204.94	0	0.00	0	0.00	12	204.94
5 - 10	11	81.54	0	0.00	0	0.00	11	81.54
2 - 5	20	55.01	1	2.62	0	0.00	19	52.40
0 - 2	195	59.01	0	0.08	0	0.00	195	58.93
<b>Totals</b>	<b>335</b>	<b>58,033.21</b>	<b>3</b>	<b>155.82</b>	<b>41</b>	<b>34,807.28</b>	<b>291</b>	<b>23,070.11</b>
More than £50m	78	56,886.50	1	104.50	38	34,699.22	39	22,082.78
Less than £50m	257	1,146.71	2	51.32	3	108.06	252	987.33
Less than £25m	238	400.50	1	2.69	0	0.00	237	397.81

Distribution of issues by equity money raised  
Year to date



# Table 7: New issues by equity market value at issue

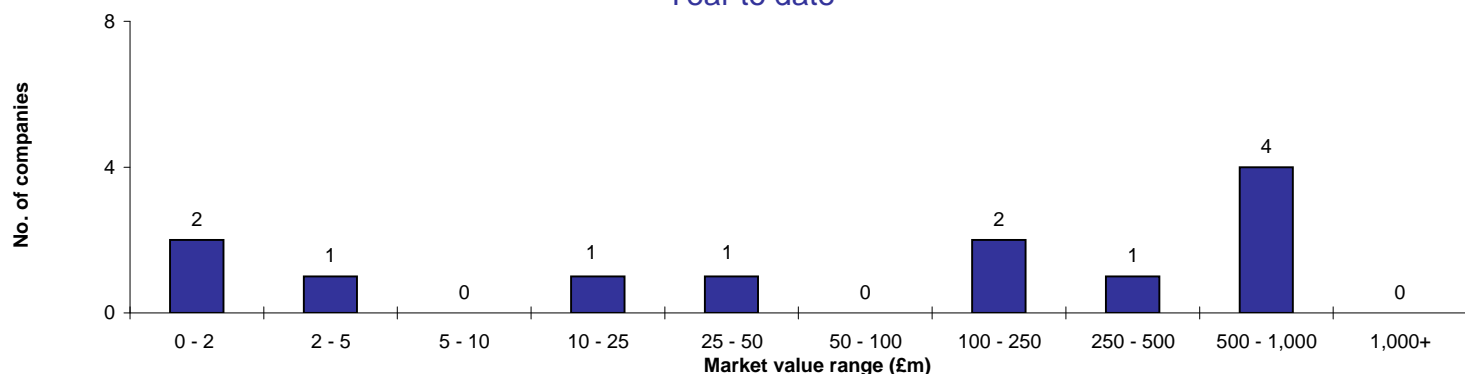
## Main Market - UK Listed

Market value range (£m)	Total			New companies			of which			Other		
	No. of companies	Equity market value (£m)	Money raised (£m)	No. of companies	Equity market value (£m)	Money raised (£m)	New investment companies			No. of companies	Equity market value (£m)	Money raised (£m)
							No. of companies	Equity market value (£m)	Money raised (£m)			
<b>October 2009</b>												
1,000+	0	0.0	0.0	0	0.0	0.0	0	0.0	0.0	0	0.0	0.0
500 - 1,000	0	0.0	0.0	0	0.0	0.0	0	0.0	0.0	0	0.0	0.0
250 - 500	1	406.8	0.0	0	0.0	0.0	0	0.0	0.0	1	406.8	0.0
100 - 250	1	104.5	104.5	1	104.5	104.5	1	104.5	104.5	0	0.0	0.0
50 - 100	0	0.0	0.0	0	0.0	0.0	0	0.0	0.0	0	0.0	0.0
25 - 50	0	0.0	0.0	0	0.0	0.0	0	0.0	0.0	0	0.0	0.0
10 - 25	0	0.0	0.0	0	0.0	0.0	0	0.0	0.0	0	0.0	0.0
5 - 10	0	5.1		0	5.1	0.0	0	5.1	0.0	0	0.0	0.0
2 - 5	0	0.0	0.0	0	0.0	0.0	0	0.0	0.0	0	0.0	0.0
0 - 2	0	0.0	0.0	0	0.0	0.0	0	0.0	0.0	0	0.0	0.0
<b>Totals</b>	<b>2</b>	<b>516.4</b>	<b>104.5</b>	<b>1</b>	<b>109.6</b>	<b>104.5</b>	<b>1</b>	<b>109.6</b>	<b>104.5</b>	<b>1</b>	<b>406.8</b>	<b>0.0</b>
More than £50m	2	511.3	104.5	1	104.5	104.5	1	104.5	104.5	1	406.8	0.0
Less than £50m	0	5.1	0.0	0	5.1	0.0	0	5.1	0.0	0	0.0	0.0
Less than £25m	0	5.1	0.0	0	5.1	0.0	0	5.1	0.0	0	0.0	0.0

## Year to date

1,000+	0	0.0	0.0	0	0.0	0.0	0	0.0	0.0	0	0.0	0.0
500 - 1,000	4	2,893.7	0.0	1	524.8	0.0	0	0.0	0.0	3	2,368.9	0.0
250 - 500	1	406.8	0.0	0	0.0	0.0	0	0.0	0.0	1	406.8	0.0
100 - 250	2	225.8	104.5	1	104.5	104.5	1	104.5	104.5	1	121.3	0.0
50 - 100	0	0.0	0.0	0	0.0	0.0	0	0.0	0.0	0	0.0	0.0
25 - 50	1	48.6	48.6	1	48.6	48.6	1	48.6	48.6	0	0.0	0.0
10 - 25	1	13.1	0.0	0	0.0	0.0	0	0.0	0.0	1	13.1	0.0
5 - 10	0	5.1	0.0	0	5.1	0.0	0	5.1	0.0	0	0.0	0.0
2 - 5	1	6.1	2.6	1	2.6	2.6	1	2.6	2.6	0	3.4	0.0
0 - 2	2	0.3	0.1	1	0.1	0.1	0	0.1	0.1	1	0.2	0.0
<b>Totals</b>	<b>12</b>	<b>3,599.4</b>	<b>155.8</b>	<b>5</b>	<b>685.6</b>	<b>155.8</b>	<b>3</b>	<b>160.9</b>	<b>155.8</b>	<b>7</b>	<b>2,913.7</b>	<b>0.0</b>
More than £50m	7	3,526.3	104.5	2	629.3	104.5	1	104.5	104.5	5	2,897.0	0.0
Less than £50m	5	73.1	51.3	3	56.4	51.3	2	56.4	51.3	2	16.7	0.0
Less than £25m	4	24.5	2.7	2	7.8	2.7	1	7.8	2.7	2	16.7	0.0

## New issues by equity market value Year to date



# Table 8: Distribution of companies by equity market value

October 2009



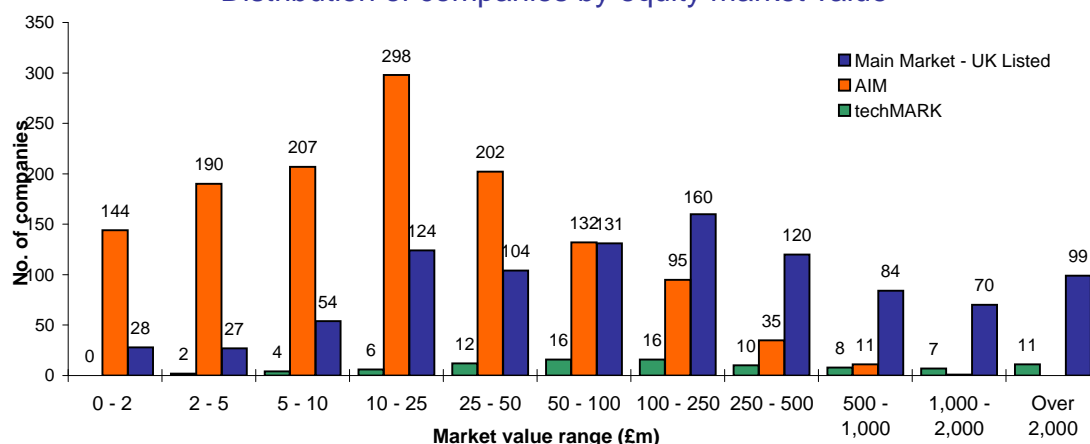
Market value range (£m)	Main Market - UK Listed				techMARK			
	No. of companies	%	Equity market value (£m)	%	No. of companies	%	Equity market value (£m)	%
Over 2,000	99	9.6	1,360,941.9	84.9	11	11.6	220,571.6	90.3
1,000 - 2,000	70	6.8	96,845.5	6.0	7	7.4	9,927.1	4.1
500 - 1,000	84	8.1	59,975.3	3.7	8	8.4	5,713.4	2.3
250 - 500	120	11.6	42,681.3	2.7	10	10.5	3,868.4	1.6
100 - 250	160	15.5	26,947.9	1.7	16	16.8	2,540.0	1.0
50 - 100	131	12.7	9,552.4	0.6	16	16.8	1,110.5	0.5
25 - 50	104	10.1	3,852.5	0.2	12	12.6	447.3	0.2
10 - 25	124	12.0	2,144.5	0.1	6	6.3	117.7	0.0
5 - 10	54	5.2	398.2	0.0	4	4.2	23.6	0.0
2 - 5	27	2.6	93.9	0.0	2	2.1	7.7	0.0
0 - 2	28	2.7	29.2	0.0	0	0.0	0.0	0.0
Unvalued securities	7	0.7	-	-	0	0.0	-	-
Suspended	23	2.2	-	-	3	3.2	-	-
<b>Totals</b>	<b>1,031</b>	<b>100.0</b>	<b>1,603,462.5</b>	<b>100.0</b>	<b>95</b>	<b>100.0</b>	<b>244,327.4</b>	<b>100.0</b>
More than £50m	664	64.4	1,596,944.3	99.6	68	71.6	243,731.1	99.8
Less than £50m	337	32.7	6,518.2	0.4	24	25.3	596.3	0.2
Less than £25m	233	22.6	2,665.8	0.2	12	12.6	149.0	0.1

## AIM (UK & International)

	No. of companies	%	Equity market value (£m)	%
Over 2,000	0	0.0	-	0.0
1,000 - 2,000	1	0.1	1,081.1	1.9
500 - 1,000	11	0.8	7,523.2	13.1
250 - 500	35	2.6	10,852.0	18.9
100 - 250	95	7.1	14,028.4	24.5
50 - 100	132	9.9	9,402.9	16.4
25 - 50	202	15.1	7,313.7	12.8
10 - 25	298	22.3	4,846.2	8.4
5 - 10	207	15.5	1,482.5	2.6
2 - 5	190	14.2	659.6	1.2
0 - 2	144	10.8	162.8	0.3
Unvalued securities	3	0.2	-	-
Suspended	16	1.2	-	-
<b>Totals</b>	<b>1,334</b>	<b>100.0</b>	<b>57,352.4</b>	<b>100.0</b>
More than £50m	274	20.5	42,887.6	74.8
Less than £50m	1,041	78.0	14,464.9	25.2
Less than £25m	839	62.9	7,151.1	12.5

UK techMARK companies are also included in "Main Market - UK Listed"

## Distribution of companies by equity market value



# Market summary

October 2009

of which:  


Company data	Main Market UK Listed		Main Market International Listed		of which: techMARK	
	Oct 09	Year to date	Oct 09	Year to date	Oct 09	Year to date
New companies	2	15	3	14	0	1
Delistings/Transfers	10	63	1	12	0	0
<b>30 October 2009</b>						
Trading		1,100		326		0
Suspended		26		6		0
<b>Total companies</b>		<b>1,126</b>		<b>332</b>		<b>0</b>
<b>Equity market value (£bn)</b>		<b>1,603.5</b>		<b>1,648.8</b>		<b>0.0</b>

## Covered Warrant Market

New Warrants	211	630	103	891
Expired Warrants	2	304	9	932
<b>Total warrants listed</b>		<b>678</b>		<b>1471</b>

of which:



## Money raised

	Main Market UK Listed		Main Market International Listed		of which: techMARK	
	No. of co's / issues	Money raised (£m)	No. of co's / issues	Money raised (£m)	No. of co's / issues	Money raised (£m)
<b>October 2009</b>						
<b>Equity</b>						
New Issues	1	104.5	0	0.0	0	0.0
Further issues	27	2,412.7	4	0.0	0	0.0
<b>Total</b>	<b>28</b>	<b>2,517.2</b>	<b>4</b>	<b>0.0</b>	<b>0</b>	<b>0.0</b>
<b>Fixed Interest</b>						
New & Further Issues	0	0.0	0	0.0	-	-
Eurobonds / MTN's	162	15,933.5	121	14,398.8	-	-
<b>Total</b>	<b>162</b>	<b>15,933.5</b>	<b>121</b>	<b>14,398.8</b>	<b>0</b>	<b>0.0</b>
Public sector	5	18,615.5	3	939.6	0	0.0
<b>Grand Total</b>	<b>195</b>	<b>37,066.3</b>	<b>128</b>	<b>15,338.4</b>	<b>0</b>	<b>0.0</b>

## Year to date

<b>Equity</b>						
New Issues	3	155.8	2	364.6	1	0.0
Further issues	332	57,877.4	27	1,992.4	18	438.0
<b>Total</b>	<b>335</b>	<b>58,033.2</b>	<b>29</b>	<b>2,357.0</b>	<b>19</b>	<b>438.0</b>
<b>Fixed Interest</b>						
New & Further Issues	5	228.3	0	0.0	-	-
Eurobonds / MTN's	1,484	209,134.5	981	174,172.2	-	-
<b>Total</b>	<b>1,489</b>	<b>209,362.8</b>	<b>981</b>	<b>174,172.2</b>	<b>0</b>	<b>0.0</b>
Public sector	77	187,416.2	62	23,570.7	0	0.0
<b>Grand Total</b>	<b>1,901</b>	<b>454,812.2</b>	<b>1,072</b>	<b>200,099.9</b>	<b>19</b>	<b>438.0</b>

# Notes to the statistics

## **Table 1: Main Market - UK listed companies: further issues**

Other issues exclude eurobonds and medium term note tranches.

## **Table 2: Main Market - International listed companies: new issues**

Fixed interest summary figures exclude eurobonds and medium term note tranches.

## **Table 3: New and further issues by method**

New companies. Equity issues only. Refer to Table 1 for full details of equity and fixed interest issues.

Further issues. Equity issues only and excludes non-money raising issues. Refer to Table 1 for details of further issues during the month.

Fixed interest. Figures include new and further issues. Convertibles do not include convertible eurobonds.

Covered Warrant issues include all covered warrants issued, and not just those on the dedicated Covered Warrant market.

## **Table 5: Money raised by business sector**

Excludes non-money raising new companies and non-money raising further issues.

## **Table 5a: New Issues by business sector**

New Companies - companies new to the exchange split by "Introductions" (non-money raising) and

"Money Raising" companies that have raised money on listing

Transfers - companies that have transferred from one market to another (Aim to Main or Main to AIM)

Re-admissions - companies that have cancelled their listing and re-admitted the same day (Scheme's / Reverse takeovers)

If a company issues an Equity and a Fixed Interest the company count will appear in the equity section and not the fixed interest.

Money raised will appear in both equity and fixed interest

## **Table 6: Distribution of companies by equity money raised**

Table excludes non-money raising new companies and non-money raising further issues.

## **Table 7: New issues by equity market value at issue**

Other includes re-listings and transfers. See definition of terms below.

## **Table 8: Distribution of companies by equity market value**

Unvalued securities include those companies where a price is not available.

More than £50m etc. Unvalued and suspended securities are excluded from figures in italics.

Equity market value at month end. The number of shares issued multiplied by the month end closing mid-price.

## **Main market summary**

New money raised includes only money raising companies during the month.

Total Companies includes companies with no equity listed. Average market capitalisation of equity companies should therefore be calculated using number of equity companies listed in Table 9.



techMARK - the London Stock Exchange market for innovative technology companies, launched 4th November 1999. techMARK figures are an "of which" of the UK listed and International listed figures.

# Definition of terms

**DRs** include all types of depositary receipt. For example, ADRs, GDRs and ADS.

**Market value at issue**

Represents the total number of shares multiplied by the issue price or opening admission price.

**New company**

A company listing on either the Main Market for the first time.

**Transfer**

A company transferring to another London Stock Exchange market. For example, AIM to the Main Market.

**Relisting**

A company relisting following cancellation of a previous listing on a London Stock Exchange market.

**£bn** refers to 10<sup>9</sup>

**Source** of the Primary Market fact sheet information is the London Stock Exchange Listing Applications and AIM departments with the information originating directly from the issuer and broker together with various standing data files.

## Economic and Market Information Service

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