
SEDOL

14 September 2016

Shenzhen-Hong Kong Stock Connect - SEDOL Allocation

Another joint initiative will be launching soon between the Shenzhen Stock Exchange (SZSE) and the Hong Kong Stock Exchange (HKEx), known as the Shenzhen-Hong Kong Stock Connect.

It is expected that the Shenzhen-Hong Kong Stock Connect will go live mid to late November 2016, whereby SZSE stocks will become tradable via the HKEx for foreign investors and the HKEx shares will become tradable for mainland Chinese investors.

Restrictions will remain on the SZSE and HKEx existing trading lines and on that basis new SEDOLs have been issued to identify eligible securities for this new initiative representing the Stock Connect trading lines in accordance to the Methodology shown in the HKSE circular below.

<http://www.hkex.com.hk/eng/market/partcir/sehk/2016/Documents/CT04516E.pdf>

Companies eligible for trading under Shenzhen-Hong Kong Stock Connect are still subject to regulatory approval, which at the date of this notice has not yet been finalised.

[Please see the attached list for both the Northbound and Southbound trading SEDOLs](#) for Shenzhen-Hong Kong Stock Connect.

SEDOLs will remain in Coded status and are subject to change depending upon regulatory approval.

If you have any questions regarding this matter, please email smfdb@lseg.com.



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