

Shenzhen-Hong Kong Stock Connect - Update

The Shenzhen-Hong Kong Stock Connect initiative is scheduled to go live on Monday 5th December 2016, whereby SZSE stocks will become tradable via the HKEx for foreign investors and the HKEx shares will become tradable for mainland Chinese investors.

On the basis that restrictions will remain on the SZSE and HKEx existing trading lines, separate SEDOLs have been created to represent the Stock Connect trading lines.

SFC press release: <http://www.sfc.hk/edistributionWeb/gateway/EN/news-and-announcements/news/doc?refNo=16PR127>

HKEX press release:

<http://www.hkex.com.hk/eng/newsconsul/hkexnews/2016/Documents/1611252news.pdf>

A revised list of the eligible securities for Southbound trading under Shenzhen-Hong Kong Stock Connect has been released.

No revisions have been made to the list of eligible securities for Northbound trading. The current list states it will be updated upon launch of Shenzhen-Hong Kong Stock Connect.

Eligible securities (Southbound):

<http://www.szse.cn/main/images/2016/11/25/20161125192119715.pdf>

Eligible securities (Northbound):

http://www.hkex.com.hk/eng/market/sec_tradinfra/chinaconnect/Eligiblestock.htm

[Please click on this link](#) to access the revised spread sheet for the Northbound and Southbound trading for Shenzhen-Hong Kong Stock Connect.

If you have any questions regarding this matter, please email smfdb@lseg.com.

