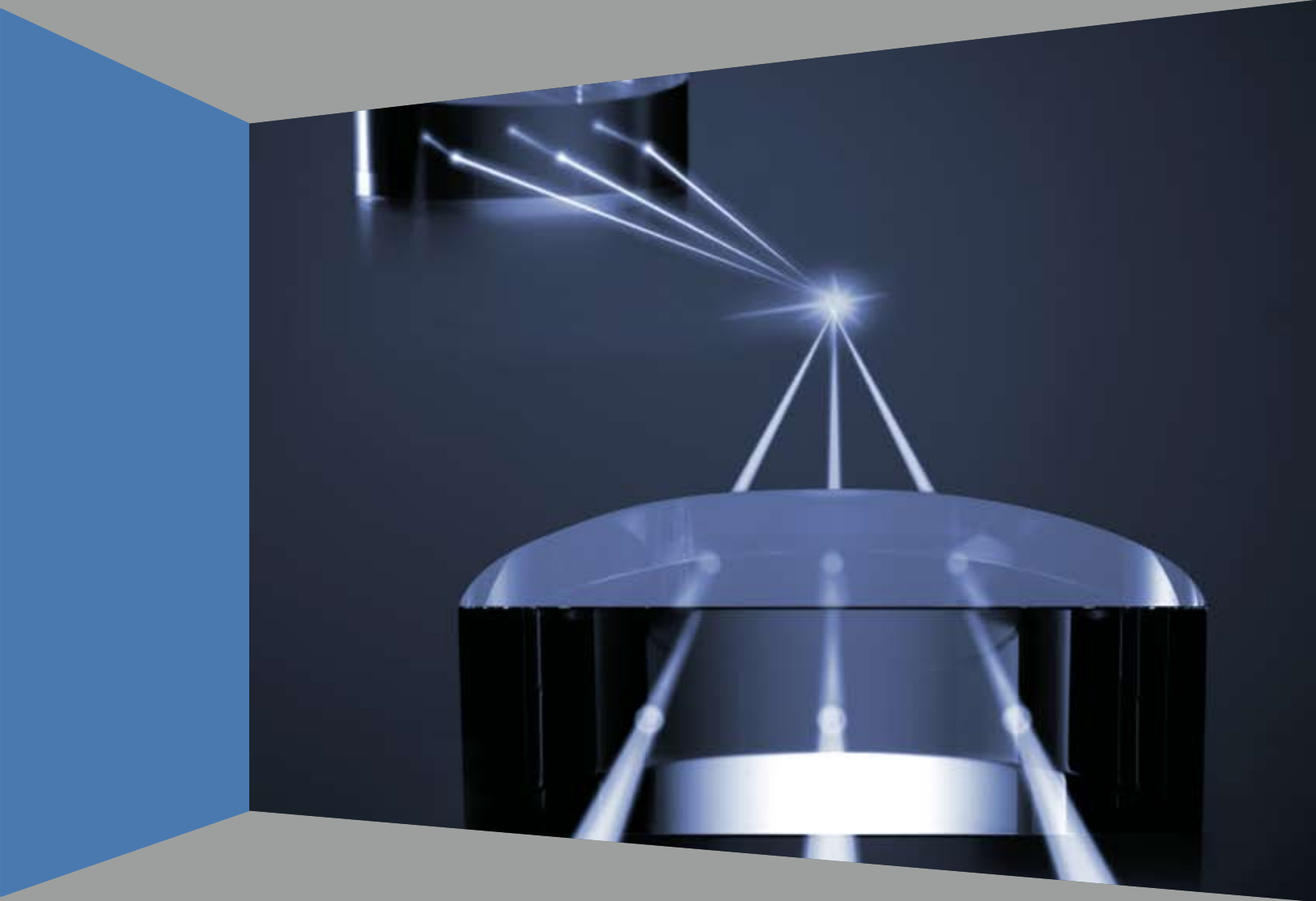


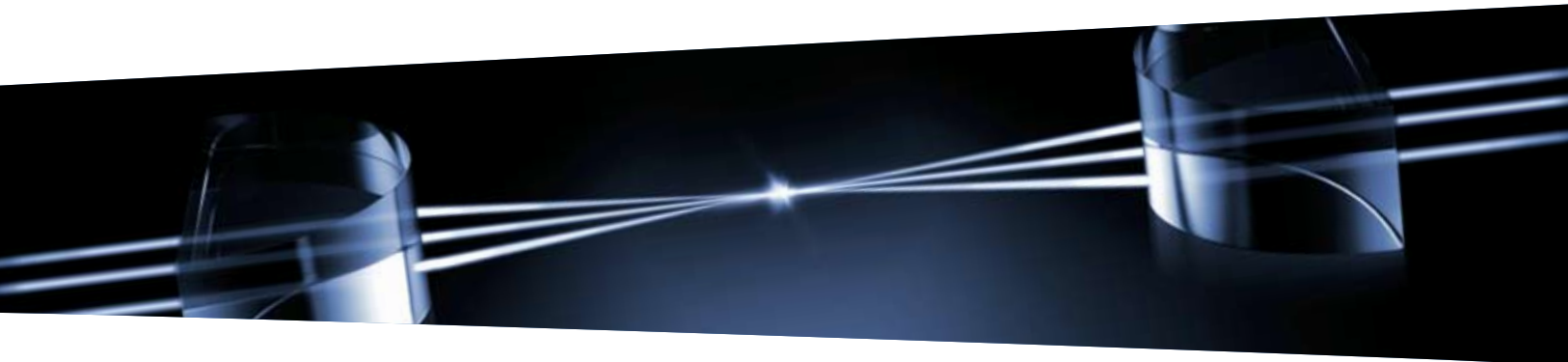


London
Stock Exchange

ONE VIEW OF YOUR DATA

UnaVista





The discovery and management of exceptions in complex flows of financial data is a challenge faced by companies of all sizes across many industries. Increased regulatory requirements, complex compliance processes, and the ongoing need to manage risk must be addressed while controlling costs. One way of achieving this is by centralising your exception management processes in a hosted service environment.

The changing regulatory landscape

In today's competitive landscape it is a regulatory requirement, as well as a vital business objective, to be able to demonstrate control over data, systems and processes. Companies are finding it increasingly difficult to balance risk exposure with business profitability and efficiency. With consolidating businesses, additional compliance needs and changing regulatory environments such as Sarbanes Oxley and MiFID, companies are required to demonstrate strong operational risk management processes.

Exception management

By selecting the correct reconciliation, matching and exception management solution a large majority of expensive, high risk problems can be resolved including:

- conforming to increased regulatory requirements
- managing risk and fraud in a competitive environment
- reducing the cost of matching to the business
- managing high volumes of data efficiently.

A well-designed matching and reconciliation solution can improve a company's performance and profitability by processing transactions efficiently and effectively.

What is UnaVista?

UnaVista is a generic matching, reconciliation and data integration engine which combines powerful business capabilities with a fast time to market. The solution is hosted at the Exchange, which means you can focus on your core business requirements without worrying about internal support resources or costly infrastructure.

UnaVista allows companies to implement exception management workflows quickly and flexibly, without requiring any hardware deployment. As a web-based system, customers can be up and running within weeks and as an Exchange-hosted system, the security of your data is guaranteed.

UnaVista provides a hub for consolidated data which can be accessed by any business function from any location. Its flexibility allows you to remove multiple in-house applications, while delivering a standardised process across the business. The fact that UnaVista is hosted eliminates expensive hardware, infrastructure and support costs as well as radically reducing the project costs and timeframes.

Secure global access

The service is entirely web-based which makes it easy to deploy to global end users who only require a web browser to connect. At the same time, interfacing UnaVista to your back-office systems is straightforward. It is easily configurable to handle a broad range of data formats.

Hosted at the Exchange's secure data centres, UnaVista is globally accessible



UnaVista provides a graphical dashboard which indicates the current state of your workflows, providing real-time, interactive access to your data. Risks are immediately highlighted, ensuring that users can correctly prioritise issues requiring attention, and also satisfy both internal efficiency requirements and external regulations.

UnaVista dashboard



UnaVista helps reduce operational risk and standardise reconciliation and matching, allowing you to eliminate manual processes.

UnaVista applications

As a generic engine, UnaVista is deployed in a wide range of applications. These include:

Central matching

UnaVista is currently being used to match post-trade data between prime brokers and executing brokers.

Pre- and post-settlement reconciliation

This including cash, stock, portfolio and collateral reconciliation. UnaVista is used by a number of tier one investment banks to manage cash and stock positions.

Reference data management

As the UK's national numbering agency, the Exchange uses UnaVista for allocation and maintenance of ISIN/SEDOLS. UnaVista has significantly reduced the costs and increased the quality of service provided to the Exchange's global base of customers. UnaVista is used by several tier one banks for validating their security masterfile, and facilitating the provision of restricted lists (of stock barred from trading).

Multi-system reconciliation

UnaVista is used by a major tier one bank to reconcile data across over 30 front-office trading systems within the firm's risk system.

Compliance solutions

A tier one bank is using UnaVista to consolidate trade data for the production of various compliance reports, including front running and inside trading.

Cash and securities reconciliation

This application includes inter-company reconciliation, supplier invoices, policy and investments.

UnaVista is suitable for use at any financial or corporate institution including:

- investment banks
- broker-dealers
- wholesale banks
- insurance companies
- investment managers
- custodians
- securities service outsourcers
- corporate treasuries.



Quick to implement, highly scalable

The Exchange's professional services team is on-hand to manage your project and provide consultancy, implementation and ongoing support services. They are also responsible for the monitoring of the system, removing this burden from your IT staff.

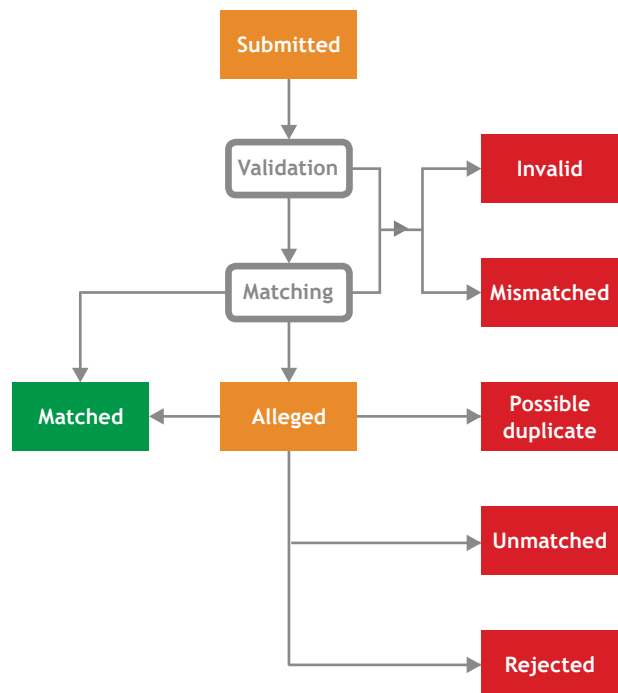
Historically, business teams have been reliant on IT departments to implement changes and perform maintenance tasks. As a hosted solution, UnaVista reduces your reliance on internal resources, and provides predictable change management.

UnaVista rapidly highlights risk and exceptions, providing transparency across your data streams and allowing for a quicker resolution of erroneous items. With no requirements for installing or maintaining local hardware/software, business imperatives can be delivered quickly at low risk and on a pay-per-use basis.

The UnaVista service charge is linked directly to your data volumes, offering the choice of either a long-term subscription or a monthly rental which allows you to optimise your return on investment.

UnaVista's workflow enables businesses to implement sign-off procedures and send alerts when such sign-offs are late, proving reconciliations are undertaken in a timely manner and signed off by senior management. A typical UnaVista workflow is illustrated below. Reports can include time-based information and comparative measures, so that the process can be monitored and actioned.

UnaVista workflow



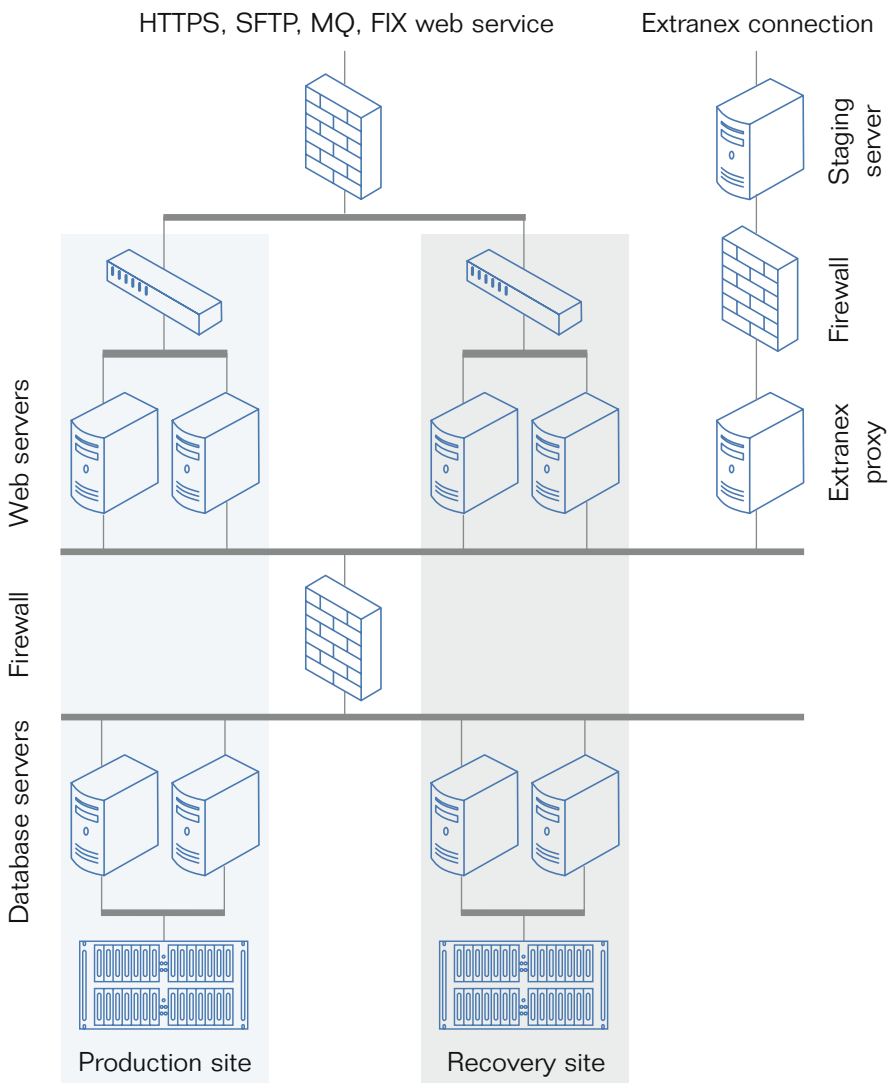
The Exchange is the single largest user of UnaVista, which powers the Exchange's SEDOL Security Masterfile Service.

Peace of mind

In using UnaVista, you can rest assured that your data is secure – hosted at the Exchange, the solution provides customers with access to a secure data environment with comprehensive backup and disaster recovery facilities. UnaVista uses secure communications protocols as well as the Exchange's secure Extranex network. It is compliant with multiple input formats and message types.

If you would like to discuss how UnaVista can help fulfil your business requirements, please contact the UnaVista team on +44 (0)20 7797 1214.

UnaVista hardware configuration



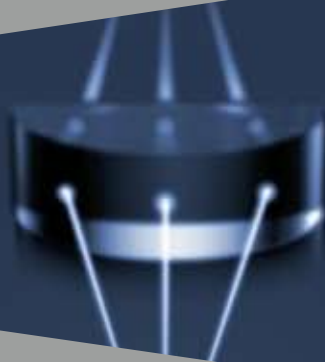


Please see the enclosed information sheets
for more details.

For further information on UnaVista please visit
www.londonstockexchange.com/unavista.



London
Stock Exchange



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